John Holland, M.D. 1 (1) 10/21/2019

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              IN THE UNITED STATES DISTRICT COURT
             FOR THE WESTERN DISTRICT OF WISCONSIN
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     JESSICA TISCHER,
                                   ) CASE NO.
    individually and as
                                   ) 3:19-cv-00166-jdp
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     Personal Representative for
     the Spouse and Children of
 5
     Jacob Tischer, Decedent,
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               PLAINTIFF,
                                   ) VIDEOTAPED
                                   ) DEPOSITION OF
 7
         VS.
                                   ) JOHN P. HOLLAND, M.D.
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    UNION PACIFIC RAILROAD
     COMPANY, a Delaware
 9
     corporation,
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               DEFENDANT.
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    UNION PACIFIC RAILROAD
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     COMPANY, a Delaware
     corporation,
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               DEFENDANT/THIRD-
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               PARTY PLAINTIFF,
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          VS.
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     PROFESSIONAL
     TRANSPORTATION, INC.,
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               THIRD-PARTY
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               DEFENDANT.
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               VIDEOTAPED DEPOSITION OF JOHN P. HOLLAND,
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    M.D., taken before Brianne L. Starkey, RPR, CRR,
21
     General Notary Public within and for the State of
22
    Nebraska, beginning at 11:05 a.m., on October 21,
23
     2019, at the offices of Thomas & Thomas Court
24
    Reporters and Certified Legal Video, L.L.C.,
25
     1321 Jones Street, Omaha, Nebraska.
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10/21/2019 Page 7 Page 5 1 (Whereupon, the following proceedings were 1 A. Yes. 2 had, to-wit:) 2 Q. And if I ask a question today that you 3 VIDEOGRAPHER: This is the videotaped 3 don't understand, feel free to ask me for deposition of John Holland, M.D., taken in the case clarification, and I'll do what I can to clarify the 4 5 entitled Jessica Tischer, et al., versus Union question. 6 Pacific Railroad Company, et al. 6 If you don't do that, I'm going to assume 7 This deposition is being held at the 7 that you've understood the question. Is that a fair offices of Thomas & Thomas Court Reporters. Today's 8 assumption? date is October 21st, 2019, and the approximate time 9 A. Yes. 10 is 11:05 a.m. 10 Q. And if you need to take a break at some 11 My name is Lisa Olsen, the videotape 11 point, let me know and we'll try to accommodate specialist from Thomas & Thomas Court Reporters. 12 that. The court reporter is Brianne Starkey. 13 Just before we leave the topic of -- how 14 Will counsel please introduce themselves 14 many times would you expect that you've been deposed 15 for the record. 15 previously? 16 THE WITNESS: John Paul Holland. 16 A. Probably somewhere 50 or more. 17 17 Q. In your capacity as a -- as the medical MR. BANKER: Paul Banker, B-A-N-K-E-R, on behalf of plaintiff. 18 officer for Union Pacific Railroad? 19 MR. HAYDEN: Thomas Hayden, 19 A. Well, I -- I don't know for sure, but I 20 H-A-Y-D-E-N, on behalf of defendant, Union Pacific. 20 would imagine at least probably 30 or 40 times. 21 MR. COHEN: Michael Cohen, C-O-H-E-N, 21 Q. In that capacity? 22 on behalf of third-party defendant Professional 22 A. Yes. Transportation, Incorporated. 23 Q. Okay. 24 (Exhibit 27 25 25 marked for identification.) Page 6 Page 8 1 JOHN P. HOLLAND, M.D. 1 BY MR. BANKER: 2 having been first duly sworn, 2 Q. Let me show you what's been marked for 3 was examined and testified as follows: identification as Exhibit 27. Have you seen this DIRECT EXAMINATION document before? 5 5 BY MR. BANKER: MR. HAYDEN: Thank you. 6 Q. Good morning, Dr. Holland. Have you had 6 MR. COHEN: Thanks. 7 7 your deposition taken before? THE WITNESS: No. 8 A. Yes. BY MR. BANKER: 9 Q. I'll represent to you that this is a Q. I would expect probably a number of times? 10 10 notice of deposition in this case, both -- for your 11 11 deposition, both in your individual capacity as well Q. So you're -- I take it you're familiar 12 with the process? 12 as in your corporate representative capacity. 13 A. Yes, I am. 13 And I want to point your attention to 14 Q. The court reporter is going to be taking 14 Page 2. And at the end of the text but above the down everything that gets said, so it's important signature line, you'll see that there are two topics just as a reminder to answer audibly as opposed to 16 laid out there that you've been -- that UP has been 17 saying uh-huh or huh-uh or nodding your head. Do asked to designate a witness to testify about. 18 you understand? 18 Have you seen those topics previously? 19 A. Yes. 19 A. No. 20 20 Q. Okay. Let me approach it this way: Other Q. And it's also important so that we have a than conversations with your attorney, what, if 21 clear record that we not talk over one another, so 22 I'll try to finish my question and then pause and 22 anything, have you done to prepare for your 23 23 give you a chance to answer. deposition today? 24 24 If you could do the same, we'll get a A. I reviewed the Union Pacific first aid 25 clearer record out of that. Okay? training brochure that was applicable in 2017.

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Page 11 Page 9 Q. Okay. Anything else that you've done to 1 testimony this morning, what you looked at in preparation was the -- the student BasicPlus book prepare for your deposition today? 3 that is excerpts of or contained in Exhibit 9? A. No. A. Yes. I looked at the book. 4 MR. HAYDEN: I want to object here 5 just because I -- I apologize. I did not see this Q. Okay. Let me just get -- switch gears before, so I was going on an email that you and I here and get just a little bit of background information about you. were exchanging. 8 8 Where do you currently live? So having seen this, I will represent he will be the designee for No. 2; but as I understand 9 A. Well, I live in Washington state and I 10 10 also have a condominium downtown here in Omaha, so I No. 1, probably not. 11 11 MR. BANKER: Okay. Well, I guess go back and forth. we'll see how far we get with it, and --12 12 Q. Where do you consider yourself to be a 13 13 permanent resident of? MR. HAYDEN: Yeah. It could -- I 14 think I know what you're talking about there with A. Washington state. 14 15 Q. Okay. And where in Washington state do 15 No. 1, but I'm just not sure, so he may be able to you live? 16 help. 16 17 17 A. Puiallup. MR. BANKER: Okay. 18 (Exhibit 9 previously marked in 18 Q. How do you spell that? 19 19 A. P-U-I-A-L-U-P. a prior deposition.) 20 BY MR. BANKER: 20 Q. I assume that you are a medical doctor? 21 21 Q. Let me jump -- I want to show you -- you A. Yes. Q. Where did you attend medical school? referenced a first aid training brochure. Let me 22 A. I received my medical degree at the 23 23 just show you that. 24 University of Nebraska College of Medicine here in I'm showing you what's been previously Omaha, Nebraska. ²⁵ marked as Deposition Exhibit 9. I'll represent to Page 10 Page 12 1 you that this is an excerpt from a book that was 1 Q. And when was that? 2 produced in discovery in this case by UP, a 2 A. 1977. 3 BasicPlus student book. And what I've excerpted out 3 Q. And did you do any formal training after 4 here is the table of contents as well as some 4 that? 5 information pertaining to altered mental status and 5 A. Yes. 6 strokes. 6 Q. Kind of walk me through, if you would, 7 Do you recognize this as being the your background as a medical doctor. 8 training brochure that you reviewed? A. I did a year of internal medicine 9 A. Yes, I do. internship. It was in San Francisco, Pacific 10 Q. Okay. And let me also show you this. 10 Medical Center. 11 (Exhibit 21 previously marked in 11 Then I did postgraduate -- second 12 a prior deposition.) 12 postgraduate year as a resident in psychiatry at Oregon Health Sciences University in Portland. 13 BY MR. BANKER: 13 14 Q. Showing you what's been previously marked 14 I left that residency after one year, and as Deposition Exhibit 21. 15 then I was in practice in an occupational medicine 16 Is there a companion DVD to the BasicPlus 16 clinic in Portland for five years. 17 17 student book? And then I went to the University of 18 A. I'm not sure. 18 Washington where I completed a residency in 19 Q. Okay. I'll represent to you that 19 occupational medicine and received a master of 20 Exhibit 21 are screen shots from a DVD -- training 20 public health degree. 21 DVD that was produced to us in discovery in this 21 Q. Okay. Other than -- so you are a licensed 22 case, and the screen shots that have been excerpted 22 medical doctor in what state? 23 out into Exhibit 21 related to altered mental status A. I'm licensed in Washington, and I have an 24 and stroke. 24 inactive license in Oregon. 25 But if I understand what you -- your 25 Q. Do you have any other professional

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1 licenses or certifications?

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A. I'm board-certified in occupational medicine by the American Board of Preventive Medicine.

Q. And what does -- just from a layperson's perspective, what does that mean exactly?

A. Well, occupational environmental medicine is a discipline that deals with all aspects of health related to work and also health related to environmental issues, particularly chemical exposures in the environment.

On a practical basis, a lot of occupational medicine is dealing with preventive health of workers, is dealing with determining medical fitness for duty for people in safety critical activities or activities where there's specific functional requirements, dealing with assessment and treatments of injuries, illnesses, and exposures that may happen at work.

And then a lot of our discipline also has to do with working to make the workplace safer and working on environmental issues with others, colleagues and safety and other disciplines.

Q. Okay. And what does it mean -- what does 25 it entail in being board-certified in occupational

Page 15

certain cases in terms of fitness for duty.

So this is, you know, basically whatever I was directed to do by the chief medical officer.

O. Okay. You mentioned a concept that I want to make sure I understand. You mentioned the health and medical services department.

So is that -- when you say you're the chief medical officer, is it the chief medical officer within the health and medical services department?

A. Well, I'm the chief medical officer for the company, for Union Pacific Railroad.

O. Okav.

A. And my -- I work within -- I mean, I'm in that department, health and medical services, which then is a subdepartment within workforce resources.

17 Q. So as the chief medical officer of Union Pacific Railroad from 2010 to present, what are your 19 principal duties and responsibilities?

A. Well, I assist the department along with other colleagues in helping to develop our policies and procedures for dealing with fitness for duty for our workers in safety critical positions.

Also for working with other departments, such as safety in operations in terms of safety and

Page 14

1 medicine exactly?

A. Well, it's a medical board that's 3 recognized by the American Board of Medical Specialties. It involves a certain type of formal training and practical experience and then extensive examination.

- Q. Okay. Are you currently employed?
- 8 A. Yes.
- 9 O. By whom?
- 10 A. Union Pacific Railroad.
- 11 Q. What do you do for Union Pacific Railroad?
 - A. I am the chief medical officer.
 - Q. And how long have you been doing that?
- 14 A. I've worked with Union Pacific Railroad since 2003, and I've been chief medical officer since March 2010.
 - Q. What did you do for Union Pacific before you became -- from 2003 to 2010 before you became the chief medical officer?
- A. From 2003 to 2010, I worked as a consultant to the health and medical services department and also worked with the law department 23 and a variety of different assignments.

24 Some of them had to do with developing 25 programs. Some of them had to do with reviewing Page 16

1 health issues in general, either both working on policies and responding to specific situations.

- Q. Okay. Would you consider a conductor working for UP, is that a safety critical position?
 - A. Yes.
- Q. Let me -- let me change gears and sort of reorient us a little bit.

So I want to take your deposition both in your personal capacity as well as in -- capacity as a corporate representative. Let's focus first on your personal capacity.

Do you have any awareness -- personal knowledge regarding an incident involving a UP conductor, Jacob Tischer, August 12, 2017, at the Altoona depot?

- 16 A. No. I was not -- I don't recall having 17 any personal involvement or personal knowledge of 18 this, I mean, other than what we talked about prior 19 to the deposition today.
 - Q. Okay. And so by personal knowledge, I mean you weren't personally involved in the incident itself at Altoona?
 - A. That's correct, that I was not.
 - Q. And I'm going to go over a number of names and see if you recognize any of them to ask you,

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Page 19 Page 17 1 have you had conversations with any of these people? personal knowledge that you might have regarding the 2 Have you had any conversations with, for example, Tischer incident, we've talked about conversations Mark Marvin? and communications. 4 Have you looked at any documents regarding A. You mean conversations related to this 5 5 the Tischer incident other than what you've case or --6 Q. Yes. described in your -- this morning in terms of 7 preparation for this deposition when you reviewed A. -- conversations -- okay. No. 8 Q. Related -- relating to the Tischer 8 the BasicPlus first aid student book? 9 incident? 9 A. So other than the first aid book, 10 A. No, I have not. 10 BasicPlus, I have not looked at any documents 11 11 Q. Do you know Mark Marvin? related to this incident. 12 A. I don't believe so. It's possible I 12 Q. Okay. So let me think about the best could've talked to him. way -- you know, what I'd like to do now is shift 13 and talk with you as a corporate representative on 14 Q. Okay. 14 15 15 the topics that were laid out in Exhibit 27. A. But I don't believe I know him. 16 Q. How about is the name Neil Franchuk, 16 And the first topic is UP railroad --17 F-R-A-N-C-H-U-K, do you know Mr. Franchuk? 17 "UP's railroad operations policies and procedures 18 A. I don't believe so. applicable to Jacob Tischer's work as a conductor on 19 Q. And to follow up on that, have you had any August 12, 2017, and pertaining to his illness, 19 20 conversations with Mr. Franchuk about the Tischer 20 altered consciousness, or incapacitation." 21 21 incident? Do you see that? 22 22 A. No. A. Yes, I see you're reading this question. 23 23 Q. Sure. Q. Okay. How about a gentleman by the name 24 of Chaz, C-H-A-Z, Lux, L-U-X? 24 A. What... 25 A. I do not recall his name, and I don't --Q. So that's the topic to sort of frame Page 18 Page 20 1 didn't have any conversations with him about this our -- frame our discussion. 1 2 2 It sounds like you don't have independent incident. 3 Q. Okay. How about Mike Swentik, knowledge of the Jacob Tischer incident, so I guess 4 S-W-E-N-T-I-K? what I'm going to do to set the stage is provide you A. Again, I don't recall his name. I don't as best I can with what has been testified about in 6 recall talking to him about this incident. 6 this case and then ask you some questions. 7 7 Q. Okay. How about Eric Erickson, So Mr. Tischer was working as a conductor E-R-I-C-K-S-O-N? out of the Altoona yard on August 12th, 2017. He A. Once again, I don't recall talking to him received a call from home from a crew management 10 about this incident. I don't know -- and I don't 10 service, a CMS system. Are you familiar with CMS? 11 11 know him. A. Yes. 12 Q. Okay. So prior -- other than talking in 12 Q. How does it work when someone is called preparation for your deposition today, did you have 13 off an extraboard to work as a conductor and they're any awareness that there had been an employee 14 ill? incident in August of 2017 involving a stroke? 15 MR. HAYDEN: If you know. 16 16 A. I don't have any recollection of that, no. THE WITNESS: Well, we -- regardless 17 17 MR. BANKER: Okay. Why don't we go of whether people are reporting to work at their 18 off the record for a moment. 18 regular work shift or they're called by the crew 19 VIDEOGRAPHER: The time is 11:24 a.m. 19 management service to come in, if someone is ill, we 20 expect them to -- if -- to make a judgment about Counsel, we're off the record. 21 (Discussion had off the record.) whether they are capable of working, you know, 22 MR. BANKER: The time is 11:26 a.m. 22 physically capable of working safely before they 23 23 Counsel, we're back on the record. come to work. 24 24 BY MR. BANKER: And if they don't, then they're supposed Q. Just before we leave the subject of any to notify their supervisor, you know, that they're

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Page 21 Page 23 1 1 ill and they can't come into work. So that's So -- so they're primarily things that expected of all of our -- all of our employees. 2 would be significant like someone goes into the 3 BY MR. BANKER: emergency room with chest pain. You're supposed to Q. Is that policy written down anywhere? 4 then not go to work but notify your manager that you 5 need to have a fitness-for-duty evaluation and A. Yes. 6 O. Where would I find that? notify health and medical services too so that we A. So Union Pacific has medical rules, and can do a fitness-for-duty evaluation to determine if 8 the current medical rules have been in place since you're safe to return. And those are, as I said, in 2014. There were medical rules before that, but the Appendix B of the medical rules. last revision was 2014. 10 Q. Do you know what the -- what the 11 reportable health events are? 11 And it says in the medical rules that employees are responsible to make sure they're 12 A. Well, I -- to be specific, you know, it's physically fit for duty before they report for work. one page. I would have to read them off, but 13 14 Q. Okay. So is the term "busted call" 14 they're in five major categories. 15 meaningful to you? 15 Q. Okay. 16 16 A. No. A. The first one is cardiovascular issues. 17 17 Q. Okay. The testimony that's been given in The next one is neurological, mainly seizures or loss of consciousness, unexplained loss 18 this case sort of sets the stage as on the morning 18 of consciousness. of the 12th of 2017, Mr. Tischer received a call to 19 20 report to work. And then he had another 20 There's some things related to diabetes 21 with insulin, being -- what we call insulin reaction communication that told him the crew wasn't ready, and so he -- he was going to be called to work 22 or hypo- -- severe hypoglycemic event. 23 23 later. So his day at Altoona starts at There's issues related to sleep disorders, 24 approximately 2 p.m. in the afternoon. particularly obstructive sleep apnea and loss of 25 Is there anything in the medical rules consciousness related to that. Page 22 Page 24 1 that addresses -- I understand what you're saying 1 There's issues related to vision, vision 2 about a judgment about a physical capacity to work 2 changes. 3 safely, but is there anything in the medical rules 3 And there's issues related to hearing, 4 that addresses, you know, kind of common cold or 4 certain hearing changes. flu, the usual sickness that people kind of have and 5 Q. Okay. That's a helpful overview for me. 6 work through? 6 Am I understanding you right to say that 7 A. No. The statement is just what I said. if an employee has an event that falls into one of It's more of a general statement, that you have a those categories, that's a reportable event and responsibility to be fit for duty physically, they're not to work until they get a 10 medically when you report for work. 10 fitness-for-duty evaluation? Q. Okay. When someone has reported to work, 11 A. That's correct. And they're -- there's -do the medical rules address the situation where they're supposed to do three things. They're 12 that person's condition changes over time? 13 supposed to notify their manager that they need --A. Not really. The medical rules don't --14 that they have an event that requires a 15 aren't dealing with these day-to-day specific 15 fitness-for-duty evaluation. 16 16 things. They're supposed to notify our department, 17 17 Q. Okay. More of a general principle? health and medical services, and they're supposed to 18 A. Yes. It's more of a general principle. 18 stay off work until we've cleared them to go back to 19 There are some reportable -- there are --19 work from health and medical services. 20 20 in the medical rules, there is a part of the medical Q. And just so I understand the nuts and 21 rules called Appendix B where there is some listed 21 bolts of it, notifying a manager I would presume an 22 reportable health events; and if these occur, that 22 employee would have contact information for a 23 23 the employee is supposed to notify their manager and manager.

24

25 until they're evaluated.

24 notify health and medical services and stay off work

How does an employee notify health and

medical services about a reportable health events?

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A. Well, the medical rules, first of all, are 2 something that all the employees are not just safety critical employees, but all employees are required to know about and understand.

You know, the primary important thing here is not reporting to work until this condition is evaluated, so they will usually mention it to their supervisor. Maybe their supervisor will call us or direct them to call us, but you know, it could happen various ways, or they may know to just call it from the medical rules.

But the primary thing in terms of safety for them and others is to make sure they are not reporting to work until this is evaluated.

Q. Okay.

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A. So, I mean, our -- I think employees generally know how to reach our department.

Q. Okay. I want to focus -- you know, so you gave me a list of reportable health events by category. I want to focus on the -- well, there's two categories I want to ask questions about.

The first was a cardiovascular category, and I think you described it as maybe chest pains -having chest pains and going to the ER?

A. Well, could you tell me your question

Page 27

could also be cardiac. It could be an insulin 1 reaction. It could be a number of things.

So we -- we just group that together as a convenient place to put it, but it's any loss of consciousness event that happens.

Q. So there's been testimony in the case about the morning of August 12th, 2017, to the effect that -- and the times aren't crystal clear in the testimony, but that -- assume for the sake of argument that Mr. Tischer received a call from CMS 11 sometime before 8:30, that within a half hour of receiving that call and accepting the call, he collapsed in his kitchen and his wife was there observing him.

And for ten or fifteen seconds, he was nonresponsive on the floor. And she was considering calling 911 because she couldn't get him to respond. And then he came around and they talked about it and said what happened and he said, you know, I don't know.

Based on that description, do you believe that that would fall into this seizure category or unexplained loss of consciousness, or what additional information would you want to know about that?

Page 26

Page 28

again? 1 2

Q. Sure.

I'm just -- I want to make sure I understand what falls into this cardiovascular category.

A. Well, again, it would be -- it would be easier if I had it here to read it because there's specific wording that we put in it.

But what it is is something that is -- if we're focusing on myocardial infarction or heart attack or having had a heart attack, having been hospitalized or gone to an emergency room for a possible heart attack, whether or not that was confirmed, or having certain diagnostic procedures, you know, that would be done related to evaluating a serious cardiac condition.

Q. Okay. So let's set that category aside.

I want to understand this -- the next category, the neurological seizures or unexplained loss of consciousness category.

What falls into that category?

A. If someone's had a seizure or has been diagnosed with epilepsy, we put in that category loss of consciousness of unknown or unclear cause.

Now that isn't just neurological. It

A. So I would consider that in the category of unexplained loss of consciousness.

Q. Okay. Whether or not his eyes remained open, just the fact that he fell to the ground?

A. Well, the way you described it, I mean, you described that his -- apparently his wife had said he was unconscious or unresponsive for 15 seconds.

- Q. Well, I think the testimony was that he was -- his eyes were open, he's looking up at the ceiling, and he's not responding as she's talking to him with increasing volume trying to get a response out of him. So he's unresponsive.
- A. Yeah. So I think that's -- I think that fits into this category of unexplained loss of consciousness.
- Q. Okay. And what is indicated -- you know, just based on the limited information I've provided to you about this based on the testimony, would that be enough to trigger in your mind the reportable health event, that you would then notify the manager, you would notify health and medical services, and you would need a fitness-for-duty evaluation?

A. Yes. I would consider this a reportable

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1 health event that would require those notifications and where he should stay off work until it's evaluated.

- Q. Okay. Even if they didn't call 911 or go to the doctor beforehand, just the fact of being unresponsive would be enough in your mind?
 - A. Well, I'm going on what you described --
 - Q. Sure.

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A. -- where he was in the kitchen and he 10 apparently collapsed and was unresponsive for ten or fifteen seconds, despite the wife's trying to get a response from him, so yes.

I would consider that a loss of consciousness event that does require someone to stay off work until they're evaluated appropriately, and then we decide if they're safe to return.

Q. What does that -- thinking about the third part, you know, so you notify your manager and you notify health and medical services, and then there's a fitness-for-duty evaluation.

What does the fitness-for-duty evaluation, what does that look like in this scenario that I've described to you?

A. Okay. So this is a term commonly used in 25 occupational medicine, transportation medicine, even Page 31

individualized evaluation, and make a determination of whether they can return to work with or without work restrictions or accommodations.

O. And I want to drill down to one of the things you mentioned there.

So a fitness-for-duty evaluation, it sounds like that can be performed either by an employee's own doctor or by the employer's doctor?

A. Well, it's not exactly either of those. The fitness-for-duty evaluation is really looking at all the relevant information.

So it isn't just, you know, one particular physical examination. It's when an individual, for instance, has a loss of consciousness event. They're typically evaluated by their own doctor. They may have multiple tests. They may see multiple specialties. They may go in the emergency room. They may even be hospitalized. And so what we'll require is all that -- all those medical records.

That often has a report of a fairly detailed evaluation, and we don't feel the need to have an additional evaluation of our own, but that's always an option if we need it.

We take all that information, we look at it to determine what the health condition seems to

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1 in regulations where they say fitness for duty is doing a medical evaluation to determine -- to make a 3 | judgment or a determination if a person's health 4 conditions or perhaps their treatment may pose a safety risk for themselves or others if they return to safety critical work.

So what we're concerned about with loss of consciousness is if the person has an underlying health condition that's either going to be resulting in some functional impairment that's going to affect their safety in doing their job, or if they have a risk for recurrent loss of consciousness -- or 13 sudden incapacitation, which could be -- which could be loss of consciousness or sudden loss of physical or mental functioning. And so those are the kind of things we look at in a fitness-for-duty determination.

And then we, of course, do an individualized evaluation of the employee, which often involves getting medical records from their own health-care providers or we could do -- we can 22 also do additional evaluations ourself. And then we also look at the essential functions of their job -and safety concerns of their jobs.

We put all this together, it's an

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1 be, you know, and then we know from looking at the medical literature if there -- if that health condition is associated in the medical literature with risk for recurrent problems, recurrent sudden incapacitation or impairment, and we'll then look at the job duties and we'll make a determination about 7 whether the person can safely come back.

Sometimes we'll put work restrictions on them that would allow them to be safe, and, you know, then often there -- it also works through the accommodation process to see if they can be accommodated.

So it's -- just to summarize, fitness-for-duty evaluation is the whole process. It's not just a single examination.

Q. Okay. Let me -- so if I understand what you're saying here, the -- what I've described to you of Mr. Tischer losing consciousness or falling to the ground and becoming unresponsive for ten or fifteen seconds, that -- at least that description alone creates a red flag for you for triggering this reportable health event protocol?

A. Yes. We -- well, to be more precise, we consider that a reportable health event --

Q. Yeah.

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- A. -- that loss of consciousness, that would 2 then require the person to go through this process of staying off work until it was evaluated, informing us, and the manager.
- Q. How does a -- how would an employee know that -- what the reportable health event protocol 7 is? Are they trained on these medical rules? Are they tested on them?
 - A. So the medical rules, first of all, are available on the UP website, and it is part of training of all employees that they know about the medical rules.

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They have to -- all employees have to sign 14 I think it's either -- I think it's called an affirmation, that they understand the medical rules. 16 And that's something we have had in place for four or five years now. I'm not sure if it's affirmation or -- it's something like that.

Q. So just as far as we've gotten in the --20 in the story about the loss of consciousness or loss of balance and falling to the ground and being 22 unresponsive, is there any way from that posture 23 that an employee could just shake that off and say, 24 you know, no, I'm fine, or is the die cast at that 25 point from your perspective?

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A. Well, according to the medical records, they would need to be evaluated and we would have --3 someone who was a conductor, we certainly wouldn't 4 want them to go out and do their safety critical work on the train or in the train yard until it was evaluated, because we're concerned, of course, that there may be -- there may be a recurrence. There may be something going on that's going to cause it again.

And if -- we want them to get medical attention in any case. I mean, I think even if you weren't at work, it's something you would want to get medical attention for, but we want to make sure they're safe at work.

So there isn't any way -- the medical 16 rules would require them to go through this process. There isn't any way that you could follow the 18 medical rules without doing that.

Q. Okay. And, I mean, to the conversation that has been related to us between Mr. Tischer and 21 his wife in the kitchen of their home that morning, she was wanting to call 911 and he said, no, no, I'm fine, you don't need to call 911 and so she didn't.

But your thought is as of that point, you 25 can't just shake that off, that's a reportable

medical event as I've described it?

A. Yes.

Q. Let me add -- let me add a feature to it.

4 So he begins talking to his wife again, he 5 doesn't want her to call 911, and then within a couple of minutes, he goes into the bathroom and he 7 throws up.

Does that add or subtract anything to the story in your mind in terms of a reportable medical event?

11 MR. HAYDEN: I'll just object there. 12 I think there's part of that that's not in evidence. 13

But you're -- you can answer.

THE WITNESS: So now I think we're 15 dealing with two different issues. I mean, if -- if someone is called to go to work and they're throwing up, I mean, they have the ability to say I'm not feeling well, I don't think I should come into work. 19 BY MR. BANKER:

O. Uh-huh.

A. But vomiting is not a reportable health event.

Q. Okay.

A. And it was the loss of consciousness and unresponsiveness that's a reportable health event,

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Page 35

1 that, you know, based on the medical rules, he -you should not report to work, you should inform us and the supervisor that there's something that needs to be evaluated. 5

So they're two different issues. I mean, two different kind of situations. Whether they're related or not, it's the same health event.

O. Sure.

A. I mean, it's possible, but I think -- I 10 think the vomiting independently is not a reportable health event.

O. Okay. How about if, you know -- and we don't have the benefit of his testimony on this 13 point, but if Mr. Tischer is not aware of the fact that he has fallen to the floor and lost consciousness or become unresponsive, how then does it -- how, then, does the employee become aware that there's a reportable medical event?

MR. HAYDEN: Calls for speculation. But go ahead.

THE WITNESS: Okay. Well, with this -- again, you know, does involve some speculation.

But I think it's not really plausible that somebody who is awake and conscious and suddenly John Holland, M.D. 13 (37 - 40) 10/21/2019

Page 37 Page 39 1 falls to the floor doesn't know that happens. 1 to do that based on Mr. Franchuk's observations. I mean, I think it's -- you may wake up on 2 Does that change this scenario in any way 3 the floor and not know how you got there, you know, 3 for you beyond what we've already established? A but I think this is -- I can't think of a plausible reportable event just based on the fall in the situation where that happens where you didn't know kitchen, but now coming into the Altoona yard, the 6 you fell down, hit the floor, and you were engineer thinks the conductor can't change radio 7 7 unconscious. channels, and he's worked with him enough to know 8 BY MR. BANKER: 8 what his usual capabilities are and so he thinks Q. So the triggering point in your mind, 9 this is out of the ordinary, this is unusual. 10 regardless of what level of awareness you have, 10 Does that change -- does his inabilities would be if you're standing in the kitchen one 11 to operate the radio change the scenario for you at moment and the next moment you're looking at the 12 ceiling, you know something happened? 13 MR. HAYDEN: Objection to form, lacks 14 A. Yes. In this case, what you described, 14 foundation, calls for speculation. apparently he and the wife talked about it. So, I 15 Go ahead. mean, I assume what they talked about is she said 16 THE WITNESS: Well, I mean, nothing 17 you were unconscious. I couldn't get you to be you described in this scenario changes the fact that responsive. You need to go in the emergency room or he had the loss of consciousness episode in the 19 let's call 911. And he said, no, let's not do it. 19 morning, was unresponsive, and that's an event which 20 So I think it was maybe two things here. 20 would be a reportable health event. 21 BY MR. BANKER: First of all, if he was by himself, you know, a person is going to know. Suddenly they're on the 22 O. Sure. floor, how did I get here. So they're going to know 23 23 A. You know, I don't have any independent that -- that they lost consciousness. 24 knowledge of it. I didn't observe it. You know, I 25 And second of all, he's got another person think that you're -- you're stating that his Page 38 Page 40 1 there telling him he lost consciousness in this coworker was saying he was not feeling well and that case. So I think he's -- he was aware of it. he was feeling worse as they came back. 3 3 Q. Okay. So we move forward in the time line And I think that -- again, the employee, 4 from there to about 2 o'clock, Altoona yard. 4 if they're not feeling well and they feel they need Mr. Tischer comes on duty, and he's got a job that to go home even in the middle of the shift, you day of hauling railcars with an engineer from know, they have the right to do that and report it 7 7 to the dispatcher and their manager and do that. Altoona to Norma, which is a nearby town, sand plant 8 there. 8 And so I don't know that this changes --9 9 it doesn't -- nothing you described changes the And it's been described by his engineer 10 that he -- Mr. Tischer told Mr. Franchuk, 10 prior determination that there was a reportable 11 health event, and I guess I'll leave it at that. Mr. Franchuk was the engineer, that he wasn't feeling well that day, that he thought he had a cold O. Okay. So is there a UP policy regarding 12 or a flu, but didn't really think anything of it. 13 13 operating crews, a locomotive and conductor crew who 14 And so Mr. Tischer goes out with 14 are operating a train that provides any guidance for 15 Mr. Franchuk on the locomotive up to Norma and they 15 this scenario? 16 do work up there. And what Mr. Franchuk describes A. Well, the -- the one policy that I talked 17 17 on the trip back from Norma to Altoona as they're about is a medical rule, that's a policy, or that coming into the Altoona yard, that on the trip back 18 applies to all employees. he had noticed Mr. Tischer's condition changing for 19 And as I said, there are two things that 20 20 were relevant to this scenario. One is the general the worse but thought he was just tired, sick, not 21 21 feeling well. instruction to employees that you're to be 22 But there was a point in his mind when 22 physically fit, you know, when you report to work. 23 And then the other is the direction to 23 they were coming into Altoona and needed -- the

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conductor needed to change radio stations from one

channel to another and that Mr. Tischer was not able

them that if they have a reportable health event,

they're to stay off work until it's evaluated.

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There aren't other subtle details about, 2 you know, what to do, who to call if you're feeling ill during a work shift. Whether there are other policies at Union Pacific, more operational policies 5 that speak to that, I don't know, but the medical 6 rules are mainly those two issues that I talked about.

Q. Okay. And let me add a detail that I overlooked in kind of relating this story. So at every point thus far, starting with talking to his wife in the kitchen, Mr. Tischer has said, I don't feel great, but I'm okay. I don't need you to call 13 911.

When he gets to work and talks with Mr. Franchuk, they talk about he's not feeling well, but he says I can do the job.

When he goes up to Norma, he does his work as best people are able to observe. And coming back along the way, the conversation such as it was between him and Mr. Franchuk was to the extent of yeah, I'm fine, I'm okay.

Does the fact of Mr. Franchuk observing 23 Mr. Tischer unable to change radio channels when needed to, does that do anything to overrule an employee like Mr. Tischer who is saying I'm fine,

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1 Q. We'll take it in steps, and I will provide 2 you additional details as we go along.

But so what Mr. Franchuk described to the best of my recollection was that Mr. Tischer needed to change radio channels, and he was just staring at the radio not doing it.

And when Mr. Franchuk asked him about it, he didn't have any explanation for why it was that he couldn't, and so that struck Mr. Franchuk as odd.

10 Is there a safety concern with a conductor 11 unable to change radio channels that would create a 12 need for someone like Mr. Franchuk to do something 13 about it?

MR. HAYDEN: Objection to form. It's an incomplete hypothetical. Calls for speculation.

16 He's not an -- he's not a safety rules guy 17 as well.

THE WITNESS: Okay. So this is -you are describing to me a situation, you know. Again, I -- I'm not there in the cab. I'm not sure exactly why he's having problems with it, if there are other things that he's having difficulty doing, you know, or is complaining of or --

And I think, you know, in terms of -actually, I don't know if that answered your

Page 42

I'm okay? 1

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MR. HAYDEN: Objection to form.

THE WITNESS: Well, again, when you say unable to change radio channels, I mean, I wasn't -- I don't know exactly, you know, why he was -- if that's the case why he was having difficulty doing it.

There could be various reasons. I don't 10 know if there were other things he was complaining 10 about at that time. I mean, it's -- I don't know what -- you know, I don't know what to make of it, you know.

Again, the -- the employee, you know, at any time has the ability to say I don't feel well, I need to leave work or need to go seek medical attention.

So I -- I don't know that I can make much out of this one instance. I don't know what other things -- if there were other things he couldn't do or just he was having some difficulty with the radio or --

23 BY MR. BANKER:

O. Sure.

25 A. I don't know why. 1 question or not. If not, you can ask me --BY MR. BANKER:

Q. Sure.

A. -- again.

Q. So let me take it to the next step and maybe that'll help. So Mr. Franchuk -- so they get back to Altoona and there's work to be done putting the train away. And Mr. Franchuk asked Mr. Tischer is he okay to do the work, and Mr. Tischer says, yeah, I can do the work, I'm okay to do the work, 11 and he proceeds to do it.

And by this point, I would say we're at about -- we're somewhere between 7:38 and 8 o'clock at night. So the shift started at 2 p.m. Now we're in the -- approaching the 8 o'clock hour.

Mr. Franchuk -- notwithstanding the fact that Mr. Tischer says he can do the work, Mr. Franchuk is concerned about Mr. Tischer at this point and decides he's going to raise this with his supervisor, Mr. Marvin.

Is there a policy or procedure in terms of when an employee is saying that they're fine but other people have questioned that, is there a protocol or a procedure for that that UP has?

A. Well, it's got -- it's kind of a broad

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	Page 45		Page 47
1	question. I mean, there are a lot of operating	1	(At 12:13 p.m., with parties present as
2	1	2	before, the following proceedings were had, to-wit:)
3	I mean, I think part of this is is dealing	3	VIDEOGRAPHER: The time is 12:13 p.m.
4		4	Counsel, we're back on the record.
5	-	5	MR. HAYDEN: This is that list of
6		6	claims I had
7		7	MR. BANKER: Oh, sure.
8	medical attention or need to go home.	8	MR. HAYDEN: to tack on the back
9	_	9	of it, so I took that off; otherwise, yeah, it looks
10		10	inclusive.
11	things that you don't always write down about what	11	(Exhibit 28
12	you're going to do with a coworker or somebody that	12	marked for identification.)
13	you care about and seeing if they get medical	13	BY MR. BANKER:
14	attention.	14	Q. Showing you what's been marked for
15	The I don't know of any you know, I	15	identification as Exhibit 28.
16	think a supervisor can always ask someone to go get	16	We were just starting to have a discussion
17	medical attention or to go home, and I think it's	17	during the break, and I thought we would go back on
18	_	18	and have the discussion on the record.
19	feeling ill, if they feel they can continue.	19	But can you tell me what Exhibit 28 is?
20		20	A. Yes. Exhibit 28 are some shots of Power
21	that provide more specificity than that.	21	Point slides and text. It's an E-learning course on
22	Q. Okay.	22	the Union Pacific medical rules.
23		23	Q. And we had started to talk about, you
24	brief break?	24	know, is there an underlying book that is the Union
25	MR. BANKER: Sure.	25	Pacific medical rules?
	Page 46		Page 48
1	THE WITNESS: Okay. Thank you.	1	A. So there isn't a book. There are in
2		2	addition to this E-learning, if you go on to the
3	_	3	Union Pacific website, employees can pull up
4	(12:04 p.m Recess.)	4	individual pages about the medical rules.
5		5	And there are, I don't know exactly,
6		6	probably about six to eight pages, which is just
7		7	text that explains different parts of them.
8		8	Q. Okay. So focusing on particularly
9		9	MR. COHEN: Paul, sorry to interrupt.
10		10	Can you just tell me what the beginning
11		11	Bates stamp number is on that exhibit?
12		12	MR. BANKER: I have it as UP 1436.
13		13	MR. COHEN: Thank you.
14		14	BY MR. BANKER:
15		15	Q. We've spent some time talking about the
16		16	reportable health events, and in particular
17		17	neurological seizures or unexplained loss of
18		18	consciousness.
19		19	Is that addressed at all in this
1 - 2		امما	E-learning study guide that is Exhibit 28?
20		20	L-learning study guide that is Exhibit 20:
		20	
20			A. Well, do you mind asking me that again? Q. Sure.
20 21		21	A. Well, do you mind asking me that again?
20 21 22		21 22	A. Well, do you mind asking me that again?Q. Sure.

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Page 49 1 neurological seizures or unexplained loss of

consciousness as a subcategory of reportable medical 3 events?

A. Yes, it does.

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Q. And is there a particular page that you're looking at? There's a identification in the lower right-hand corner that starts with a UP prefix?

A. Yes. So UP 001441, that is a page that gives a screen shot of the medical rules. It tells what they are, and then it lists -- if you're on the website, it lists seven different pages that -specific pages you can go to. And one of the pages, the last page is Appendix B reportable health events.

And then further on -- okay. Page UP001454 is a page where it says what do I have to report and it says -- this page says seizure or loss of consciousness, and then it lists in the subbox, seizure of any kind, epilepsy, treatment with antiseizure medicine to prevent seizures, and then loss of consciousness.

Q. Okay. And then you were describing -- so 23 that's the study guide that an employee would presumably follow through to -- is it to be 25 tested -- before a test on the medical rules or just

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says you will complete -- "You will receive a course completion only after you confirm that you

understand what was taught. If you still do not understand, contact us for more help."

5 So yes, it's required for them to complete this required training for them to -- to answer that 6

Q. And you sort of anticipated my next question.

Is the medical rules training required training for all UP employees?

12 A. So I -- I don't know I can answer that 13 question specifically. My -- it's my general 14 understanding that it is required for employees that 15 need to -- that are required to report under 16 Appendix B.

Q. Okay.

A. And to clarify that, Appendix B mainly applies to what I consider safety critical employees. That's not a formal term used in defining these things, but it does -- Appendix B does apply to all transportation department employees, both agreement and nonagreement, which would include conductors.

So it is my understanding that this is

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1 to review the medical rules or how does that work?

A. Well, it's informational. It tells them 3 this is how you find the medical rules on the website. It does say some specific things about the reportable health conditions that you have to report.

It has a bit of a test. It has a knowledge check where you can -- where it asks you some questions you need to respond to.

And then as I mentioned before, it has something where you have to say -- you have to acknowledge yes or no. "I understand my obligation to know the medical rules and comply," and that's a box you have to click on at the end before it says you've completed the training.

Q. Okay. When an employee takes that training on the medical rules at the end of it, there's a box for them to check, which I'm assuming takes -- creates some sort of record that the person took the training on such and such a date and time?

A. Yes. So this is Page UP001465, and what 22 it says -- it says, "I understand my obligation to know the medical rules and comply," and you have to hit yes or no.

And then there's a box hereunder which

required training for conductors.

- Q. And that -- I guess my question was -could have been more precise, that was where I was heading with it is it is required -- the medical rules training is required training for all UP 6 conductors?
 - A. That's my understanding, yes.
 - Q. Okay. And so then assuming that Mr. Tischer went through that training as a UP conductor, if he had wanted to consult the medical rules, for example, after he had this incident in his kitchen on the morning of August 12th, if I'm understanding your testimony, he would then go to the UP website to find the medical rules and whatever guidance they provide on that?

A. Well, that's correct. On the UP website, if we -- we will tell people just in the search box to type in medical rules, and they'll pop up and you can refer to them.

Q. But, if, for example, Mr. Tischer had wanted to refresh his recollection about what a -what this neurological seizure category of reportable events was or how it's described in the medical rules, that would be something that would have been available to him on the UP website?

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A. Yes, it is -- it was and is available on 2 the UP website.

Q. Okay. I want to come back to where we were just before the break.

So we were talking about the idea of when an employee says that they're okay to do the job, but other people began to have a different view of it.

When we were talking about Mr. Franchuk growing concerns by the 8 o'clock hour that something was not right with Mr. Tischer, and we were talking about, well, are there any rules that guide that analysis.

And as I understood it, you were saying there was some general principles that employees need to be physically capable -- they have to exercise their judgment to ensure that they're physically capable of doing their job, but at some level, common sense comes into play and, you know, it may not be written out specifically in a rule about when another coworker could overrule an employee's judgment.

Am I tracking that correctly?

- A. Well, you said several things.
- O. Sure.

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- A. And I know you were -- maybe you could break it down for me --
 - Q. Sure.
 - A. -- into parts.
- Q. So, you know, what I'm most wanting to focus on is the situation where an employee says, no, no, I'm fine, I'm fine to do the job, I'm physically capable of doing the job, and people around that employee saying, I don't agree.

And I understand what you were saying before the break is that there's not necessarily a written rule that gives you any guidance about that situation.

Am I understanding that correctly?

A. Well, you are in a sense. I mean, you're asking sort of a broad hypothetical about a situation that isn't even a specific situation.

I think we have -- we have a general understanding that we're all supposed to be safe at work, and we have a general understanding that people have their own responsibility to say when 22 they don't -- when they feel an illness is affecting them. I think that -- and so I think other than that, there's a lot of things in the middle.

I think common sense would be if somebody

1 is clearly confused, if someone is clearly impaired or if they have some sudden event, you know, sudden loss of consciousness, then I think the response is mainly going to be from the coworkers to call and get some medical attention for them, like calling 911, calling a supervisor, you know.

So I think that -- so I think -- I think and this situation is, our medical rules are reasonable, you know. They apply mainly to the individual themselves taking responsibility.

And I think beyond that, if there's a situation regarding a coworker, I think we have to use common sense.

14 Q. Okay. So in the time line, we're at about 15 8 o'clock p.m. on August 12th, 2017. Mr. Tischer 16 has returned to the Altoona yard and gotten off the 17 locomotive and proceeded to do work that 18 Mr. Franchuk was not able to observe.

Mr. Franchuk wants -- as his next step, he wants to make contact with the manager, Mr. Mark Marvin, to talk about Mr. Tischer's situation.

But before we focus on that, I want to come back to something you said about calling either 911.

So what -- what ability does a locomotive

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1 engineer have to initiate a medical response themselves?

A. Well, they're constantly -- locomotive engineers are constantly in contact with by radio with both the dispatcher and can at any time ask the dispatcher to call emergency response, which is we have an internal emergency response center.

And then they would call -- for instance, if there's a medical emergency, if a coworker passed out at work or was -- if there was an injury, they would immediately have the dispatcher call the emergency response center. They would contact whatever the local emergency responders would be.

Q. So --

A. And I think -- and also -- they can be in contact with their -- through their radio with their manager directly.

- Q. Okay. The emergency response center that you mentioned, is that a physical location?
- A. Here in Omaha in the central office building. It's essentially a 911 emergency response center that responds to anything around the system.

And the reason that's important is it's, you know, traveling from one location to another and they will know precisely where the train is, for

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1 instance, we'll know what the -- what the 2 appropriate emergency response organization is and, you know, will be able to also coordinate any response with managers.

So I think that's -- so that's why we have our own emergency response center.

- Q. And so when operations employees -operations employees wouldn't call the emergency response center directly, it would be contacting a dispatcher who then contacts the --
- A. I think -- I think they can. I mean, I'm 12 not sure -- I'm not sure how it would actually work 13 if you're an engineer sitting on the train, if you would go through the dispatcher, if you'd call them directly. I'm not sure.

But I know they could get -- I know they contact and talk to RMCC or get in contact with them over their radio.

- Q. You mentioned RMCC. What is RMCC?
- A. I knew you were going to ask me that. It's emergency response center. I can't remember.
 - O. Okav.

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- A. I can't remember the acronym.
- Q. So that -- so it's available -- for an
- 25 example, a locomotive engineer could contact a

Page 58

dispatcher to initiate an emergency response.

Is there a policy or procedure regarding 3 the use of cell phones on a locomotive in -- to initiate an emergency response?

MR. HAYDEN: If you know.

THE WITNESS: I don't know that. I know there are some policies which are from -- both company policies and FRA policies about when cell phones can be used on locomotives, but I'm not an 10 expert on that.

11 BY MR. BANKER:

Q. Okay. So coming back to kind of the time line of events, Mr. Franchuk knows that Mr. Marvin wants to send him and Mr. Tischer back to Norma on another run that night. They have more cars to deal with.

And Mr. Franchuk, despite Mr. Tischer's protestations that he's fine, doesn't believe that he is fine. He believes he's sick, and so he wants to raise that issue with Mr. Marvin.

Is that the appropriate handling of that 22 issue in terms of policies and procedures for dealing with an employee or a coworker whose condition is changing?

A. I don't know if there is a policy and

Page 59

1 procedure that deals specifically with this. It seems like a reasonable approach. It seems like that's quite reasonable to talk to the manager and, you know, get his impression and sort of jointly 5 decide what to do or have him decide what to do. 6

So it sounds -- sounds like a reasonable approach.

- Q. Is there any policy or procedure that gives guidance to someone like a locomotive engineer about when to initiate a 911 call themselves?
 - A. I don't know.
- 12 Q. Okay. So at this point, I want to return -- we're just past 8 o'clock now, and 13 14 Mr. Tischer gets into a PTI vehicle -- let me start 15 with this.

Are you familiar with the Altoona yard and its physical layout at all?

A. No.

Q. Okay. So it's been described that there is a location on the east end of the Altoona yard that has a shanty and a portable toilet where people can gather, and it makes logical sense for them to do so because of how the yard is oriented. And so Mr. Tischer gets into a PTI vehicle to be transported to the shanty area.

Page 60

1 Are you at all familiar with PTI and what they do for UP?

A. I am familiar enough to know that they're a van service, and we have van services to transport train crews in particular, you know, from -- well, from the terminal to wherever the train is they need to get on and back and forth.

O. Sure.

So at this point, Mr. Tischer is in the PTI vehicle, and Mr. Lux, the driver of the PTI vehicle, they've come to the shanty. And Mr. Lux observes that Mr. Tischer is having some trouble undoing his seat belt, but he doesn't think anything of it.

Does that add anything to this developing scenario from your perspective in terms of observations of Mr. Tischer? So this is the first one past can't change the channels on the radio to having trouble with seat belt.

MR. HAYDEN: Objection to --MR. COHEN: Object to the form of the question and foundation.

THE WITNESS: Well, again, now I'm hearing it sort of second or thirdhand here, and I didn't observe it.

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Page 61 Page 63 And I think -- I don't think that by 1 that Mr. Tischer is so sick that he doesn't want 2 itself, you know, it would be something people would 2 him -- doesn't want Mr. Tischer to drive himself think much of. I mean, sometimes people -- you home. 4 know, we all have difficulty getting the seat belts Is there any policy or procedure that 5 5 on or off sometimes, so... guides when an employee can or can't drive 6 BY MR. BANKER: themselves home from work? 7 7 Q. Under the best circumstances sometimes. A. Not that I know of. 8 8 Q. Okay. Having made the determination that A. Yeah, yes. Q. So Mr. Franchuk, the engineer, has told Mr. Tischer can't drive himself home from work based 10 Mr. Marvin he thinks Mr. Tischer is ill, he doesn't on what I've described to you thus far, do you think he can do the job, and he doesn't think he can believe anything else is called for in terms of a 11 go back to Norma. In fact, he won't go back to 12 medical response? 13 Norma with him, and he wants Mr. Marvin to go talk 13 MR. HAYDEN: Objection in terms of 14 to Mr. Tischer at the shanty. And Mr. Marvin does 14 the lack of foundation for that. 15 that. Okay? 15 But given what you've -- been represented 16 Now, Mr. Marvin talks with Mr. Tischer at 16 to you, you can answer. 17 THE WITNESS: Well, I think what --17 the shanty and says Mr. Franchuk has expressed concern, how are you doing, are you okay, to which 18 what's being proposed that -- you're going to have Mr. Marvin -- or Mr. Tischer says I'm fine, I'm to ask me the question again. 19 20 okay, I'm okay. I can do the job. 20 BY MR. BANKER: 21 Is there any policy or procedure that 21 O. Sure. provides any guidance at that point where the 22 22 So having determined that the -engineer has one view of his coworker's capabilities 23 Mr. Tischer is ill enough that he -- that Mr. Marvin and the coworker says no, no, I'm fine, how do you doesn't want him driving home by himself, is there 24 resolve that dispute? 25 anything more that is indicated at that point from a Page 62 Page 64 1 MR. HAYDEN: Objection: Form and 1 medical policy or procedure standpoint? 2 lacks foundation. 2 A. Well, from what I understand, the way 3 Go ahead. you've described it to me, I think that was a THE WITNESS: Well, again, I wasn't appropriate and reasonable thing to do. And I there. I mean, I think -- I think that -- I think don't -- he's describing that he doesn't feel well, these kind of issues come up all the time to you know. 7 7 managers, you know, or to employees. And, well, he had described he didn't feel 8 And I think, again, you have to use common well to his coworker. And I think that the manager sense, you know, about what -- what decision you made a decision that he should go home and he shouldn't drive himself. I think it sounds 10 make about -- about these issues. 10 11 reasonable. I don't think there's any -- don't find So I mean, I -- as far as I know, there's no specific policy that deals with this. 12 any fault in that. 13 BY MR. BANKER: 13 Q. Okay. Now, as a medical doctor, I take it 14 Q. Okay. 14 you have some general training in strokes and 15 A. -- specific question. 15 neurological conditions? 16 16 Q. So by about 8:35 at the shanty as A. Yes. 17 Mr. Marvin is talking with Mr. Tischer, he Q. What would be an indication to you that 18 determines -- Mr. Marvin determines that Mr. Tischer 18 someone is possibly having a stroke? is sicker than he says he is and that he's not going 19 A. Well, I mean, what you see with a stroke 20 to send Mr. Tischer back up to Norma. is you see a sudden rapid change in some function, 21 In fact, he's going to send him home for 21 you know, some mental or physical function. 22 the night. And that means that the job isn't going 22 So depending on the location of the 23 to get done because of the hours of service and crew stroke, often there will be speech impairment, sort

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staffing. So Mr. Tischer is going home.

But Mr. Marvin determines at that point

of mumbling or maybe getting sounds out but, you

know, definitely doesn't sound normal. That's one,

John Holland, M.D. 20 (65 - 68)

10/21/2019 Page 67 Page 65 a certain part of the brain. 1 If -- so I don't know. Just what you It may be physical weakness or numbness or described, it wouldn't -- that in itself for paralysis of a certain part of the body, usually on somebody that was feeling ill, they were stumbling a little bit, I wouldn't. I think it's -- it's pretty one side. 5 5 nonspecific. It may be a alteration in consciousness, so there may be confusion. There may be -- may be 6 Q. Okay. So people observed this, 7 collapse. There may be loss of consciousness. Mr. Tischer stumbling coming out of the porta potty, 8 And those would be -- or maybe confusion and not being there, I don't have the benefit of is -- is sort of a broad term, but there may be some firsthand knowledge about this. real impairment in specific mental functioning, you 10 But the people there viewed it as a change know, that may be a little more subtle. in his condition. It was significant -- they felt 11 11 12 But I think that -- the big hallmark would 12 that was a significant event in terms of their be that it happened suddenly and the person was 13 observations of him. suddenly changed from what they were previously and 14 Mr. Tischer goes into the shanty and have some kind of, again, mental and/or functional 15 someone offers him something to drink, and one of 16 impairment. 16 the coworkers who was with him comes out and says, I 17 17 Q. So let me take us -- with that kind of think he's having a stroke. understanding, let me take us back into the time 18 Does that change your perspective on this 19 19 line. at all? 20 And here we're at about -- we're somewhere 20 MR. HAYDEN: Objection. It lacks 21 between 8:15 and 8:35. We're at the shanty where foundation, misstates testimony that's in evidence. various people are gathered talking about this. And It's also objectionable to form, incomplete 23 23 Mr. Tischer goes into the portable toilet, and when hypothetical. 24 he comes out, several people see him stumble and 24 THE WITNESS: Well, I mean, I don't 25 have trouble walking. The left side of his -- his 25 know -- I don't know from just your statement there Page 66 Page 68 left leg is -- doesn't appear to be working properly 1 if what caused the person to say that, if it was 1 2 just seeing him stumble or if it was some other anymore. 3 Does that observation, is that what you're information. 4 BY MR. BANKER: talking about in terms of weakness? 5 MR. HAYDEN: Objection. That Q. Sure. misstates the testimony that's in evidence, so lacks 6 A. And I think, you know -- so, again, it's kind of nonspecific. I don't know what to make of foundation, it's an incomplete hypothetical, 8 misstates testimony. It's also objectionable as to it other than that's what you say the person said. 9 9 O. Okay. So by about 8:35, Mr. Tischer is form. 10 MR. COHEN: I'm going to join in that 10 back in the PTI vehicle and the decision is made to 11 objection -- those objections. take him back to the Altoona depot which -- based on 12 12 the layout of the yard, I think you have to drive THE WITNESS: Okay. Well, now you mentioned the one difference is he goes into the 13 out of the yard and back into the yard again. toilet and he comes out and he's stumbling. 14 But between 8:35 and roughly 8:50, the PTI 15 BY MR. BANKER: 15 vehicle is making its way back to the -- to the 16 16 depot office. O. Yeah. 17 17 A. I mean, that's kind of nonspecific. I While en route, Mr. Tischer vomits and mean, people stumble because they trip over 18 they have to stop the vehicle so he can get out and something or, you know -- it's -- I think that can 19 finish vomiting. 20 happen to all of us sometimes. So that's a pretty Does the -- the vomiting add anything to nonspecific thing. 21 this scenario in terms of his medical condition? 22 Really what we're looking for is not just 22 MR. COHEN: Objection: Form and 23 one event but something that really is more marked, foundation.

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know.

you know, like they just can't use the leg, you

THE WITNESS: Well, I think it -- you

know, it's some objective evidence he really is ill,

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you know, something is going on, and I think have him go home is, you know -- or at least leave work is reasonable and it's pretty nonspecific, you know.

You -- you know, the first thing you would think is some kind of viral illness or gastroenteritis or, you know, something somebody ate, and so that's about as specific as I could be. BY MR. BANKER:

Q. Okay. So then the PTI vehicle gets to the depot by about sometime probably between 8:50 and 8:56, and the driver of the PTI vehicle goes into the depot to see if there's anyone else there to provide help for Mr. Tischer.

In the meantime, Mr. Tischer tries to get out of the vehicle and he falls to the ground and is unable to get up. He's found there by Mr. Marvin who arrives shortly thereafter, sometime between 8:50 and 8:56, and finds Mr. Tischer on the ground unable to move his left leg and left arm, unable to get him -- he's deadweight, unable to get up off the ground and get back into the vehicle. And Mr. Marvin observes left-sided facial drooping.

Does what I've just described add anything to this scenario in your mind?

A. Yes.

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Q. Tell me what.

A. Well, you described now he can't -apparently can't move his left arm and leg. He's
got drooping on the left side. It does sound like
this is a sudden occurrence because he was walking
previously when he got in the van.

So this does sound like an acute event, you know, and it has all the characteristics of a stroke or would be consistent with that.

Q. Okay. So Mr. Marvin by about 8:56 calls 911, and the 911 dispatcher dispatches the ambulance, and the ambulance comes and I -- let me find it here.

And the ambulance departs at about 9:18, so they were called at 8:56, they departed for the hospital at 9:18.

If I'm understanding your testimony, do you think that at the depot was the first time that 911 was -- a 911 call was indicated?

A. Well, based on the scenario you described to me, ves.

Q. Okay. Would there have been any reason to call 911 earlier based on kind of altered mental state where someone says, no, no, I'm fine to do the job, but the people around him have concluded you're

not fine?

MR. HAYDEN: Objection: Lacks foundation.

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THE WITNESS: Well, prior to this
event when he gets to the depot and is on the
ground, you don't -- what you described to me
doesn't appear to me to be altered mental state, at
least it's not clear.

Just somebody saying I'm fine, they don't want -- I don't want to get medical attention or I don't want to leave work isn't necessarily altered mental state at all. It's just their -- just their statement.

14 BY MR. BANKER:

Q. Okay. Is there any written policy or procedure that provides guidance about when to initiate a 911 call for Union Pacific?

A. Well, there isn't any such guidance in the medical rules. I don't know what guidance there is in other operating rules, so I'm not sure.

Q. Okay. Or to follow up on that point, as to who is the proper person to initiate a 911 call, is there any rule that specifies that?

A. I do not -- as far as I know, there is no rule that speaks to that.

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Q. Okay. Who is responsible for drafting the medical rules generally?

A. Well, the last time they were revised -- I mean, they existed before I started working with Union Pacific.

And the last time they were revised, it was a group put together under the leadership of our department, health and medical services, and there are representatives -- I was on the committee, we had some of our nurses on the committee, safety people -- people from the safety department, operating, labor relations, so it -- and law, so it's a large group.

And -- that all contributes to the development, but it's under the leadership of health and medical services.

Q. Okay. And then once health and medical services has settled upon a set of medical rules, who is responsible for implementing those medical rules?

A. Well, once they're formally adopted, the medical rules really give responsibility to -- they talk about the roles and responsibility of the employee, you know. So the roles and responsibility of the manager and the roles and responsibility of

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Page 73 Page 75 our department, health and medical services. 1 essentially? So everybody has got some responsibility 2 A. Well, it's all of those things that you 3 for implementing certain parts, such as the 3 discussed. I mean, we've got -- as we talked about employees we talked about are responsible for making before with this exhibit, you know, we have a sure they're fit for duty when they come to work. brochure or a booklet on first aid training. 6 They're responsible for reporting reportable health 6 There's courses that people can sign up for that go 7 7 conditions. through the booklet. 8 8 They have responsibilities if we do Much of it is CPR, cardiopulmonary initiate a fitness-for-duty determination --9 resuscitation, and use of the automatic electronic evaluation process, they have responsibilities to defibrillator, AED. And that -- that's a big 10 11 participate. 11 component of the first aid training. 12 So that's really what the rules -- what 12 The other components, there is a certain 13 the medical rules talk about. 13 amount about traumatic injuries, you know, cuts, you 14 Q. Okay. I want to -- we've spent some time 14 know, crush injuries, first aid until, you know, talking about the first topic in the deposition 15 medical attention arrives that -- EMS or emergency notice, and now I want to shift gears to the second 16 medical services or somebody else. topic, and that is, "UP's policies and procedures 17 17 And then there's -- there's some general regarding employee first-aid training, including 18 things about other health conditions. stroke recognition and response, applicable to UP's 19 So -- so I don't know. I guess I'm just 20 Altoona, Wisconsin, yard in 2017." 20 describing really what's in the book. 21 Do you have that topic in mind? 21 Q. And so the book that you're referring to 22 22 is what we marked as Exhibit --23 Q. What -- what is UP's policy regarding 23 MR. HAYDEN: 9. employee first aid training in the 2017 time frame 24 BY MR. BANKER: applicable to the Altoona yard? 25 O. -- 9? Page 74 Page 76 A. So Union Pacific makes first aid training 1 A. Yes. available to all employees. It's on a voluntary 2 MR. BANKER: Thank you. 3 basis, and it's encouraged, but it isn't required of 3 BY MR. BANKER: 4 Q. So is that the title of it, the BasicPlus, 4 employees. 5 Q. Why is it encouraged as opposed to 5 that is the first aid training? 6 6 A. Title is "BasicPlus CPR, AED, and First 7 7 A. Well, the -- my understanding is there is Aid for Adults." Q. Okay. And so how long has that been the no legal requirement, you know, in any government 8 regulations for us to provide first aid training to course material for the UP's first aid training? 10 our employees. We -- we think it's a good thing to 10 A. You know, I don't know. This is -- this 11 do. You know, we -- again, it's voluntary. 11 one was the one -- my understanding was in effect in 12 We actually don't have many incidents, you 12 2017. 13 know, of injuries or illnesses where they come into 13 But, you know, the -- periodically our 14 play. 14 department and the safety department and others will 15 And I think in general operations are 15 review the training material to see if we want to pretty safe, but I think -- it is a good thing not 16 continue with this or go with a different vendor. 17 Q. You mentioned that UP makes first aid 17 only for employees, but everyone in the public, I think, would benefit by first aid training. 18 training available on a voluntary basis. How does 19 Q. And when we say first aid training, 19 it make that training available to employees, say, 20 what -- are we talking about, you know -- just as a in the 2017 time frame, Altoona yard? 21 layperson, I think of first aid training as like A. You know, I don't know the different people bleeding, people who are too hot or too cold, 22 mechanisms. I know that some departments will have 23 people who have lost consciousness, people who are 23 annual safety meetings, you know, multi-day safety

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24 maybe having signs of heart attacks.

What is the UP first aid training

meets and they'll make it a component of it.

And I'm not -- so I'm not sure different

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Page 77 1 departments or different settings how it's 2 available. But I do know that anybody that wants to can sign up for the courses, the training courses. O. How would an employee know that that 5

resource was available to them?

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- A. I'm not sure of the answer. I'm not sure of the answer to that question. I mean, we do have various types of mandatory training programs, and it may be mentioned in some of those, but I'm not sure.
- Q. I'm just trying to get an overall picture. If -- you know, how frequently is this first aid training offered for voluntary participation?
- A. I -- as I said, there's some work groups that give it -- because they have annual safety meetings incorporated as part of their annual safety meetings, multi-day safety meetings.

And with other work groups, I'm not sure of the specific mechanisms about how they -- you know, how they offer it.

- Q. How about focused just on operations in the Altoona -- the operations employees in the Altoona yard? How often as in the 2017 time frame was that first aid training being offered to them?
 - A. I don't know. Q. Okay. Who would perform the training,

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1 UP's first aid training when it was offered?

A. My understanding is that our preferred 3 method is sort of a train the trainer approach. So 4 there will be some -- for this company or if we had another vendor, you know, they'll have some of their staff that would come out and train different people within our organization to be trainers who then would put on the courses.

There may be sometimes too where we had staff from this Medic First Aid came out and do the actual training, so I think there's -- my understanding is both those might apply.

Q. You know, you -- I want to just to follow up, you mentioned that sometimes UP will use vendors for its information or training.

Do you know for a fact whether the BasicPlus is an in-house creation or whether that's something that UP obtained through a vendor?

- A. Well, I know it's an outside company.
- O. Okav.

A. And, you know, they provided it and then 22 we, you know, we put our logo in the brochure. But this is something that the outside company

developed, and it says just -- not in this here, but 25 if you look at the -- well, I guess it does say on

Page 79

1 the second page. It gives the name and address of 2 the company.

- O. Oh, this Medic First Aid, that's the vendor?
 - A. Yes.
- Q. Okay. So they prepare the materials in the first instance and UP puts its own --
- A. My understanding, I think this is correct, is this is -- this is their brochure, and because we contracted with them to provide the services, they put our logo on the front page; but, otherwise, it's their standard brochure.
 - O. I see.

14 Does UP make any record of the employees 15 who receive the BasicPlus first aid training on the 16 voluntary basis?

- A. I don't know.
- Q. Okay. There's been witnesses who have testified both ways on this subject, so I'll just ask you, do you know whether any employees are required to have first aid training?
- A. It's my understanding that there they're -- it's not required for any UP employees.
- Q. Okay. I want to have you look at -- I want to show you a couple of documents.

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(Exhibit 20 previously marked in a prior deposition.)

BY MR. BANKER:

Q. Showing you what's been previously marked as Exhibit 20.

> MR. HAYDEN: Thank you. MR. COHEN: Thank you.

BY MR. BANKER:

Q. I'll represent to that you that this is a document that was produced and created by UP in this litigation to provide various kinds of information. And I want to have you look on Page 3 of the document at numbered Paragraph 12. There's a paragraph about the information that you may or may not have.

It says there that Dr. Holland will have knowledge of the policies and procedures for railroad operations and training for employees of Union Pacific.

We've talked about the training for employees of Union Pacific. Do you have information other than what we've talked about today regarding policies and procedures for railroad operations?

A. That's kind of a broad question. I mean, 25 I did speak about the medical rules, which I'm very John Holland, M.D. 24 (81 - 84) 10/21/2019

Page 81 Page 83 1 familiar with. 1 Q. Would there be any reason to notify health 2 Q. Sure. and medical services of the Tischer incident from a 3 A. There's another policy that's relevant to medical standpoint? 4 medicine is our drug and alcohol rules, which I A. Well, I don't know. I mean, it could've 5 don't think are applicable here. happened. The -- the occupational health nurse 6 Q. Okay. might have contacted or wrote a note, but I don't 7 7 A. Those are the two policies that I am most know. 8 familiar with and I deal with directly. I mean, 8 Q. Okay. Do you know who the occupational other than that, there are many other policies and health nurse would have been who had oversight procedures for railroad information -- railroad responsibility for the Altoona yard in 2017? 10 11 A. Well, I assumed it was Ms. Carson because 11 operations that I don't deal with. 12 O. Sure. 12 she's listed here. 13 But as relates to policies and procedures 13 Q. You know what I did? I went the wrong 14 for railroad operations pertaining to this 14 direction with that. particular case and Mr. Tischer's scenario, have we 15 Let me go back to Exhibit 20, and I want talked about your areas of where you have knowledge? 16 to go to the paragraph above. I was asking you 17 A. Yes. 17 questions about Jessica Carson, and I appreciate 18 Q. Do you know Jessica Carson? 18 that. 19 19 What I meant to be asking you about was A. Yes. 20 20 Debra Gengler. Do you know who Debra Gengler is? O. Who is Jessica Carson? 21 21 A. She is a nurse that's employed at Union A. Yes. Q. Who is Debra Gengler? 22 Pacific, designated as occupational health nurse 22 A. She's a nurse. She's the director of that's in the Twin Cities service unit. 23 Q. And is the -- my understanding is that the 24 clinical services at Union Pacific, which is in our 25 Twin Cities service unit encompasses the Altoona department, health and medical services. So she and Page 82 Page 84 1 yard where Mr. Tischer was working on August 12th, 1 I are colleagues. 2 2017. Is that your understanding? 2 Q. Okay. How do her responsibilities differ 3 A. I believe so, yes. from yours on a day-to-day basis? 4 Q. Okay. Does Jessica Carson have any A. Well, at the current time, she has the different or additional information to your nurses in our department, both fitness-for-duty knowledge about the Tischer incident? nurses and -- that are under her direction. 7 7 MR. HAYDEN: Calls for speculation. She and I both participate in policy and 8 THE WITNESS: I don't know. procedure development in the department along with 9 BY MR. BANKER: many others. You know, on a day-to-day basis, we 10 Q. Okay. Have you ever spoken with 10 work on fitness-for-duty cases together. Ms. Carson about the Tischer incident? 11 11 Q. Okay. 12 A. Not that I know of. 12 A. So I think we're really sort of a 13 Q. Okay. Based on -- we talked at the 13 integrated team in terms of doing this, and she and 14 beginning of your deposition about you not having 14 I are at parallel levels within the department. any direct conversations with any of the fact 15 Q. Is Debra Gengler a medical doctor? 16 witnesses in particular on the evening that this was A. She is a nurse, and she has a master's in 17 17 playing out or thereafter. occupational health nursing. 18 Do you know whether anyone called health 18 Q. Okay. 19 and medical services about the Tischer incident on 19 THE WITNESS: Can we take a short 20 20 August 12th, 2017? break. 21 21 A. No. MR. BANKER: Sure. Certainly. 22 Q. Do you know whether anyone called health 22 THE WITNESS: Okay. Thanks. 23 and medical services after that date about the VIDEOGRAPHER: The time is 1:10 p.m. 24 tissue incident? 24 Counsel, we're off the record. A. No. I don't know. 25 (1:10 p.m. - Recess.)

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Page 87 Page 85 (At 1:21 p.m., with parties present as said, we don't know -- we don't really know who the 2 before, the following proceedings were had, to-wit:) friend is or the patient's friends that are 3 VIDEOGRAPHER: The time is 1:21 p.m. reporting here. 4 Counsel, we're back on the record. 4 And it does provide information you hadn't 5 5 mentioned before, you know, and maybe it -- this is (Exhibit 22 previously marked in 6 a prior deposition.) the first time I've seen that he stated he felt he 7 7 BY MR. BANKER: was having a bad headache. Some of this was 8 Q. I'm going to show you what's been 8 mentioned before, you know, that he vomited earlier. 9 previously marked as Exhibit 22. 9 This thing that he stated before calling 10 Have you had occasion to look at the EMT 10 911, about an hour before he was having bad leg and arm weakness, I mean, many of these things in terms records that were created in Mr. Tischer's ambulance 11 12 response? of the time line, you know, description weren't 13 A. No. 13 necessarily something we derived from what you had 14 Q. I want to direct you to -- I'll represent 14 mentioned before. So there are some new things to you that Exhibit 22 has been identified as being 15 here. those EMT records. And on the seventh page of the 16 BY MR. BANKER: exhibit, there's a section entitled "Patient Care 17 17 Q. Okay. And are they salient to you as a --18 Report." 18 as a medical doctor, or what do you make of the new 19 19 information? A. What page? 20 Q. On the -- it's actually on the bottom 20 MR. HAYDEN: Objection: Form. right-hand corner. It's got a Page 405, but it's 21 THE WITNESS: Well, I don't -- I the seventh physical page of the exhibit. 22 don't have any way of disputing the information or 23 A. All right. 23 confirming it. I mean, it basically is just 24 24 Q. At the top, it says "Patient Care Report." information that the -- the EMS responders wrote 25 Do you see that? 25 down, you know, that they said someone had told Page 86 Page 88 1 A. Yes. 1 them. Q. And so the EMTs in this case have BY MR. BANKER: 3 explained that the ACHART is an acronym that they Q. Okay. Do you attribute any particular use for sort of writing up their report. significance to any of those symptoms? And in particular, I want to direct you to 5 MR. HAYDEN: Objection to form, 6 the section -- the paragraph titled -- that starts 6 foundation, calls for speculation. with an H, which they've explained stands for 7 THE WITNESS: Sure. I mean, 8 "History." 8 they're -- all of them -- provide information, you 9 9 know. Take a moment, if you would, and read 10 through that paragraph. 10 The -- from what you did tell me, you 11 11 know, that when the manager found him on the ground A. All right. 12 Q. Does reading that -- understanding that we when he got back to the terminal, he -- either the 12 manager or he reported he was having difficulty don't know who is saying these things, we just have 13 14 what's stated there in the -- in the paragraph in 14 moving his left arm and leg, so they talk about left 15 the EMT record, does reading that provide you any 15 arm and leg weakness, you know. 16 different picture of the scenario that I have walked 16 If this came on suddenly or if it was you through today in terms of the time line and the 17 developing -- you know, it appears that it came on events that have been testified about, does that 18 suddenly because he could no longer stand, you know, 19 history paragraph change your view of the event at 19 but I don't know how long it had -- he felt 20 20 all? something there. 21 21 MR. HAYDEN: Objection: Form. And then the other thing is this is the 22 THE WITNESS: Well, some --22 first indication of a headache. A headache is --23 23 MR. HAYDEN: Foundation. like vomiting, is really nonspecific. I mean, there are a few type of strokes that are related to 24 24 Go ahead. 25 THE WITNESS: Well, again, as you headache. Subarachnoid hemorrhage, I don't know if

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Page 89 Page 91 that's what was happening here or not. 1 that minutes matter in treating stroke? So I don't know -- you know, I don't know 2 A. Yes. 3 what to make of it other than this is what EMS 3 Q. At the top of that page, second sentence, she says, "You need treatment right away to lower reported someone told him at the scene. your chances of brain damage, disability, or even BY MR. BANKER: Q. Okay. Sitting here today, do you know 6 death." 7 7 what kind of stroke Mr. Tischer had? Do you see that? 8 8 A. Yes. A. No. Q. Would you agree with that statement? Q. I guess I should've asked the first 9 10 question, do you know whether he had a stroke? 10 A. The -- yes, I think it's generally accepted that if someone's having a stroke, you A. I -- I'm assuming he had a stroke from 11 12 what we talked about. I mean, that -- yes. 12 know, that the sooner that they get into care, it --13 13 you get appropriate care in a hospital, the better Q. Okay. 14 A. I mean, I have a general understanding 14 off they are. 15 15 (Exhibit 19 previously marked in that he had a stroke, yeah. 16 Q. But beyond that, you don't have a specific 16 a prior deposition.) 17 17 understanding of what his treatment or diagnoses BY MR. BANKER: 18 were? 18 Q. I'm showing you what's been previously marked as Exhibit 19. Have you ever seen this 19 A. No. 19 20 20 document before? Q. Okay. 21 21 (Exhibit 16 previously marked in A. No. 22 a prior deposition.) 22 Q. I'll represent to you that this is a 23 BY MR. BANKER: 23 document that was produced by UP in this case in response to an inquiry about what, if any, prior 24 Q. Handing you what's been previously marked experience UP has had with employees having strokes as Exhibit 16. Page 90 Page 92 1 MR. HAYDEN: Thanks. on duty in a particular time frame and at least 2 between May of 2014 and August of 2017. This was BY MR. BANKER: 3 the answer. Q. This is -- I'll represent to you that this 4 4 is a collection of emails from Jessica Carson, who Let me ask you, are you familiar with UP we mentioned as the occupational health nurse for having incidents of employees who are on duty having 6 the Twin Cities service unit, and I have a strokes other than Mr. Tischer? 7 7 particular question I want to ask you regarding the A. Well, it could've happened. I don't 8 third page of the document, which is Bates stamped recall any other specific incidents. UP001048. 9 Q. Okay. I'm just trying to get a sense of 10 10 the level of incidents with which -- UP has more Ms. Carson has testified that she went --11 after receiving information a day or two after this than 30,000 some employees, correct? A. Well, back in 2017, we probably had about incident, she went on the Internet and got some 12 13 13 45,000 employees. information about strokes and cut and pasted it into 14 this email. 14 Q. Okay. And so I'm just trying to 15 She relates a FAST, F-A-S-T, acronym, 15 understand within that population of employees, how 16 16 frequently do -- do employees experience strokes which appears to correspond to the acronym that's 17 17 while on duty? Do you have any sense of that? used in the BasicPlus student handbook. 18 I guess my question, below that where it 18 A. Well, I would -- I would expect it to be says FAST, below that she has a paragraph that 19 fairly similar to what the population frequency is 20 20 starts, "Minutes matter in treating stroke." for the age groups we have, so it isn't -- isn't all 21 Do you see that? 21 that common. I can't give you a percentage. 22 A. I'll have to read this. 22 Q. Okay. Suffice to say it happens and has 23 Yes, I see what you're referring to. 23 happened in the past and would be expected to happen 24 Q. Sure. 24 in the future? 25 And would you agree with that statement, 25 A. Yes.

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- Q. Just eyeballing it, it looks like we've 2 got -- over the course between 2014 and 2017, it looks like it's -- on a pace for about twice a year with the number of events listed there.
 - A. Yes, yes, I agree.

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Q. Do you have any reason to believe it's either more or less frequent than that?

MR. HAYDEN: I'm just going to object that this is a -- to be clear, this is a list of every incident in which the word "stroke" was used, and so there -- these are -- we don't know whether these were confirmed strokes, suspected strokes, or otherwise, so just with that caveat.

THE WITNESS: You'll have to ask me the question again.

BY MR. BANKER:

O. Sure.

I'm just eyeballing it and looking at it and saying at least between 2014 and 2017, it looks like these were occurring at a rate of about twice a year, these incidents that are reported here.

Do you have any reason to believe it's either more or less frequent than that in terms of employees having strokes on duty?

MR. HAYDEN: Same objection as this

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does not in and of itself indicate where these employees suffered a stroke.

THE WITNESS: So these are brief 4 notes. I'm not sure what database they're taken from, but it must be some internal Union Pacific database.

And in some of these, they talk about people being taken to the hospital or the emergency room for evaluation of stroke symptoms. Some of them say they had a stroke. There's not a lot of details.

But if there were -- if over this essentially four-year period, there were eight incidents, if they were strokes, that would be two per year.

And we -- out of our 45,000 employees in 2017, for instance, about 40,000 were in these safety critical jobs, so we would hear about this.

So that would be a pretty low percentage, you know. It would be maybe one every 21 20,000 employees would be a pretty low rate of 22 events for any health event.

23 BY MR. BANKER:

Q. Okay. So I want to ask you a question. We already looked at Exhibit 9, the BasicPlus

1 student handbook, but I have a specific question I 2 want to ask you.

To my eyes, looking through the table of contents, it looks like stroke is specifically mentioned as a category of sudden illness that's dealt with on Page 81 of the student book.

And so I've excerpted out here starting on Page 80, and then going to Page 81 so that you can follow the table of contents into the material 10 there, there's a mention of stroke that begins on Page 81, Bates stamped UP139, and goes on to the 11 12 next page, Page 82, Bates stamp UP1393.

Are you tracking where I'm at?

A. Yes, I see that.

- Q. Is there any other written information that UP provides to its employees about strokes other than what's contained in this student BasicPlus student book?
- A. I don't recall that we have other information besides this.
- Q. And if I understand your testimony on this point, the BasicPlus first aid training is something that is made available but not required of employees, correct?
 - A. That's correct.

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- 1 Q. And so -- but if they took the training, is it your belief that they would be -- then they would cover the stroke training that's listed in the student BasicPlus student handbook?
 - A. You know, I don't know. It is included in the book. I'm not sure if -- you know, in the on-site training if they go through it or they just refer people to read the books. I'm not sure.
 - Q. Okay. On Page 82 of the BasicPlus student book, the second paragraph on that page, it says, "Early bystander recognition, along with rapid transport to a hospital, is critical for limiting damage, or even survival."

Would you agree with that statement?

A. Well, yeah. This implies certain types of strokes. I mean -- and that there's certain types of strokes where it's really important to get in because treatment may be able to limit effects.

But in general, I think getting to the hospital, you know, if someone is having a stroke is -- you know, is the proper approach.

- Q. Are there any kinds -- is there a variety of strokes for which medical treatment is not urgent?
 - A. Well, no, that's not what I was implying.

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10/21/2019 Page 97 Page 99 1 I think medical -- I think medical attention when 1 attention to the second page of the document, which you have a stroke is important. Not all of them are 2 has a Bates Stamp 1475 on it, on the January 7th, given treatment. 2016, there's an entry for January 7th, 2016, where the code is MEDRT, M-E-D-R-T, all caps, and then it I mean, sometimes it's -- you know, you 5 should be evaluated. You know, whether there's an has got a further description as "Medical Rules 6 effective treatment for you or not, given the kind 6 Training." 7 7 of stroke, will depend on what's happening. Do you see that? 8 O. I see. 8 A. Yes. I was kind of keying off you used -- I 9 Q. Do you know what that is representing? 10 thought I heard you say that for certain types of 10 A. Well, I assume -- I am going -- the medical rules is what we talked about before. We 11 strokes. 11 12 12 looked at a exhibit which was a description of the A. So -- okay. Well, getting -- there's certain strokes where even if you get to the Power Point slides for medical rules training. 13 hospital right away, it's going to -- you're not 14 14 Q. Yep. going to be able to necessarily limit the damage. 15 A. I would -- I'm going to assume that's what 16 O. Okay. 16 this is. 17 17 A. Because the damage has already been done Q. So that -- what you're saying is that the or it's something that there's no effective 18 Exhibit 28 we looked at, the E-learning for medical 19 treatment. 19 rules, corresponds to the training entry for 20 Q. I see. 20 Mr. Franchuk on Exhibit 29 for January 7th, 2016? 21 21 A. Well, let me modify that. It talks about A. So if you -- if you have a hemorrhagic stroke and you're -- you know, a burst aneurysm or medical rules training. I mean, there maybe --22 something, you still should be in the hospital, you maybe there is other ways besides using the Power 23 24 24 know. Point of doing it. 25 25 But you -- and you should be generally Q. Okay. Page 98 Page 100 1 maintained, you know, supportive care. And it --1 A. But it is something that is marked as a you know, it may not -- there may not be anything training that was completed. So if it was that form you can do to limit the effect of the stroke. It's or some other format, I don't know. already happened. 4 Q. Okay. Looking at Mr. Franchuk's complete 5 training history, are you able to tell whether he --Q. Okay. 6 A. So I guess what I'm -- I was -- but whether or not he received the BasicPlus first aid activating EMS is always the right thing to do. 7 training? Q. Okay. Showing you what's been previously 8 And there may be -- there might be an marked as Exhibit 24. Well, let's see. And I easier way to do this, so let me put that question 10 apologize for -- why don't we do this. 10 on hold and let me show you another document. It's been previously marked as Exhibit 24, 11 Why don't we mark this as Exhibit 30. but I don't have a copy of that because that was 12 (Exhibit 30 13 just last week. So why don't we mark this as a new 13 marked for identification.) 14 exhibit if we could. 14 BY MR. BANKER: 15 (Exhibit 29 15 Q. And, again, I apologize. This was 16 previously marked as Exhibit 25, but I don't have an 16 marked for identification.) 17 actual stamped copy of it yet, so we'll call this 17 BY MR. BANKER: 18 Q. So showing you what's been marked for 18 Exhibit 30. identification as Exhibit 29. Have you ever seen 19 Showing you what I understand to be the 20 this form of document before? training history for Mr. Marvin. And then directing 21 21 A. Yes. your attention to the second to last page of 22 Q. What do you recognize it as being? 22 Exhibit 30, which is Bates stamped UP1487. 23 23 A. Well, this is a report of training for And then on that page, there is a 24 Mr. Franchuk. 24 January 7th, 2014, entry for a code PXD6, which has

Q. Okay. And if I could direct your

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a description, "Basic First Aid/CPR-V6."

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Page 101 Page 103 1 Do you see that entry there? 1 A. No. 2 2 Q. As to physically where these events played 3 Q. Do you know what that entry for 3 out and where various things were positioned January 7th, 2014, is on Mr. Marvin's training relative to one another, you don't have any history that is Exhibit 30? information? A. Well, I mean, all -- I can read it. It 6 A. Well, the information I have is what you 7 says "Basic Medic First Aid and CPR." So it appears presented to me. to be an indication that he completed that training. 8 Q. Sure. Q. And do you believe that would be the 9 A. But I don't have any other independent 10 voluntary BasicPlus first aid training we've talked 10 information about it. about here today? 11 11 Q. Okay. 12 A. Well, I do, because it was 4.5 hours' 12 A. Excuse me. Other than exhibits we've duration, so it would've -- it would have been looked at, like the EMS exhibit. 13 14 probably the CPR training, plus the other basic 14 O. Sure. 15 first aid. 15 But you don't have any personal knowledge 16 Q. Okay. So then looking at Mr. Marvin's 16 of that? training history big picture, do you see that he 17 17 A. No. Q. Okay. So UP has added PTI as a received any first aid training other than the 18 January 7th, 2014, training? 19 19 third-party defendant to this lawsuit. And in the 20 A. No. 20 course of that, UP and its attorneys have made 21 Q. Okay. And then I want to turn back to certain allegations against PTI regarding PTI's 22 Exhibit 29, which was Mr. Franchuk's complete 22 involvement in this matter. Now I just want to ask 23 training history, and ask you, do you see any 23 you a couple questions about that. indication on his training history that he received 24 UP has alleged that PTI failed to provide the voluntary first aid training that UP offered? Jacob Tischer with aid and assistance as reasonable 25 Page 102 Page 104 1 A. Your question again. 1 persons would render under similar circumstances. 2 O. Sure. 2 Do you have any information about that? 3 3 My question was looking at Mr. Franchuk's MR. HAYDEN: Objection. That's not 4 complete training history, which is marked as what Union Pacific's alleging. They're alleging in 4 Exhibit 29, do you see any indication that he 5 the alternative, should there be -- well, strike received UP's first aid training? 6 7 7 A. No. It's an allegation in the alternative, and 8 Q. Okay. Setting that aside, you as the he doesn't have information about that. chief medical officer for UP, I take it, are not --MR. BANKER: Okay. Well, it's do not have any information to take issue with the 10 actually not an allegation in the alternative, if time line of events established by other witnesses 11 you read the third-party complaint. 12 as to Mr. Tischer's incident? 12 MR. HAYDEN: The entire complaint is 13 MR. HAYDEN: Objection to foundation. 13 in the alternative. 14 I'm not sure he knows what that means, but go ahead. 14 MR. BANKER: Well, it's actually not 15 THE WITNESS: Yeah, I'm not quite 15 alleged in the alternative. 16 16 sure what you're asking me. MR. HAYDEN: It is a -- it is a 17 17 BY MR. BANKER: complaint for contribution, which is the alternative 18 O. Sure. 18 by its definition. 19 As to GPS records about where vehicles 19 MR. BANKER: If you read it 20 were at certain points, you don't have any carefully, you'll see that it's not alleged in the 21 information about that? 21 alternative. 22 A. No, I don't. 22 BY MR. BANKER: 23 23 Q. As to where people were that night and Q. I guess my question to you is do you have 24 when they were having conversations, you don't have any information that PTI failed to provide Jacob 25 any information about that? Tischer with aid and assistance as reasonable

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Page 107 Page 105 persons would render under similar circumstances? 1 similar circumstances? 2 2 A. I have no information about that. A. No. 3 Q. Okay. Do you believe that UP provided 3 Q. How about that PTI failed to recognize Jacob Tischer with aid and assistance as reasonable 4 medical conditions and provide or obtain medical persons would render under similar circumstances? treatment despite observations requiring the same? 6 MR. HAYDEN: Objection. He's not 6 MR. HAYDEN: The question is do you 7 have any information about that. going to answer the question. I should have stopped him before he answered the first one. 8 THE WITNESS: No. These are legal contentions. It's 9 BY MR. BANKER: 10 10 Q. Okay. How about do you have any improper to ask -- that was going to be my response to Mike's request for a PMK on legal contentions. information that PTI failed to properly train 11 It's improper to ask a witness sitting in his shoes employees regarding recognizing and handling medical 12 a response to legal contentions. 13 emergencies on its transport vans? 14 MR. BANKER: Well, he's a corporate 14 A. I have no information about that. 15 15 Q. Okay. How about whether PTI failed to designee. 16 MR. HAYDEN: Not on those subjects. 16 follow or establish reasonably safe protocols 17 17 regarding medical emergencies? Not on those subjects. 18 MR. BANKER: Well, he actually is. 18 A. Again, I have no information about that. 19 Q. How about that PTI failed and neglected to MR. HAYDEN: No, he's not. You just 19 20 read what the subjects were. 20 provide and implement emergency action plans and 21 otherwise causing delay in the response of emergency MR. BANKER: The first subject is 21 22 "UP" Railroad's -- "railroad operations policies and 22 responders? 23 23 procedures applicable to Jacob Tischer's work as a A. So I have no information about that. 24 conductor on August 12th, 2017, and pertaining to Q. Okay. I want to just understand the his illness, altered consciousness, or 25 reason for -- the thinking underlying the medical Page 106 Page 108 incapacitation." 1 rules relating to reportable medical events for 2 employees in safety sensitive positions. Okay? So MR. HAYDEN: Right. Now you're asking -- first of all, it's not -- your question is 3 that's the frame work for it. 4 What is the concern for employees in not in that rubric, number one. Number two, you're asking him an opinion. safety sensitive conditions that have medical 6 Haven't designated him, I might, but I haven't 6 conditions? 7 designated him as an expert. MR. HAYDEN: Objection: Form. 8 MR. BANKER: Okay. 8 THE WITNESS: Okay. I -- is that 9 a -- a question? I'm not quite sure what --MR. HAYDEN: And it's a contention. 10 MR. BANKER: So understanding your 10 BY MR. BANKER: 11 11 objection, I guess my question stands. O. Sure. BY MR. BANKER: 12 12 What is the -- what is the medical rule 13 Q. Do you have any information sitting here 13 for reportable medical events? What is it trying to today that PTI failed to provide assistance -- aid 14 get at? and assistance to Jacob Tischer as a reasonable 15 A. So what it's trying to get at is -- much 16 persons would render under similar circumstances? 16 of it is trying to get at sudden -- medical 17 17 MR. HAYDEN: Lacks foundation and to conditions that pose risk for sudden incapacitation, the extent you have any information is the question. 18 which inherently cause significant safety risks for 19 THE WITNESS: Can you just ask me the 19 certain safety critical employees. 20 question once more? And the whole concept of sudden 21 BY MR. BANKER: incapacitation posing a significant safety risk is 22 22 all throughout transportation medicine, whether Q. Sure. 23 So do you have any information that PTI 23 you're looking at aviation or commercial driving. failed to provide Jacob Tischer with aid and 24 And the National Transportation Safety assistance as reasonable persons would render under 25 Board specifically has given some recommendations to John Holland, M.D. 31 (109 - 112) 10/21/2019

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Page 109 1 the FRA, and therefore, indirectly to the railroads 2 that there are a number of medical conditions that cause sudden incapacitation that have been responsible for significant and fatal rail 5 accidents. 6 And so they recommend to the FRA that they 7

develop rules that require railroad employees to report to their employers if they have certain health conditions that pose significant risks for sudden incapacitation.

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An example would be seizure disorders. So that they can -- the employee can be appropriately evaluated, and if need be, they can have work restrictions to protect themselves and others because of this safety risk.

So that is the guiding principle behind the reportable health conditions.

Q. Is part of the -- part of what's being addressed is just the nature of the work in the sense that it's not always at locations where there are other people, that there's a remoteness to it?

MR. HAYDEN: Objection: Foundation. THE WITNESS: So the reportable health conditions, this Appendix B, applies to

everyone essentially in field operation.

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So it's partly the nature -- the nature of the work includes both what you're doing and your 3 work setting. So if you're working in a rail yard, 4 for instance, that's inherently hazardous. If you're operating any type of mobile machinery, vehicle on-track equipment, or you're working on moving trains, there's some inherent hazards, or if you're controlling those activities, such as a train dispatcher.

And so the -- the guiding principle partly 11 has to do with the medical event, something that's going to cause sudden incapacitation and partly has to do with the work task or work environment where if you have sudden incapacitation, it poses a significant safety risk to you and others.

So that's -- again, that's the essential purpose of the Appendix B, the reportable health conditions.

19 BY MR. BANKER:

Q. Okay. Is there anything to the work rest 21 cycle of conductors that -- in terms of their 22 sleeping and resting that adds particular medical 23 issues to concern? 24

MR. HAYDEN: Objection: Form and 25 foundation.

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1 THE WITNESS: So there isn't anything in the reportable health conditions that deals with sleep and fatigue and scheduling, you know, and that isn't really part of the medical rules.

There are other policies and programs at Union Pacific that deal with fatigue management and which -- and there are regulations that deal with minimum rest procedures for conductors, you know, between shifts.

And so there are other sort of policies 11 and programs and even regulations that deal with sleep cycles and rest, but it's not in the medical rules.

14 And it's not something that the medical 15 department specifically -- what should I say, it's not our program. It's more on operations that deals 17 with --

BY MR. BANKER:

19 Q. Okay.

A. -- fatigue management.

Q. And I'm more approaching it from a perspective of circadian rhythms being disturbed. I mean, does that present any particular medical concern?

MR. HAYDEN: It presents a relevancy

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1 to this case. So what's the -- my objection formally is form and foundation. And I'm wondering aloud what the relevance of that is. 4 MR. BANKER: I'm just trying to

understand how this ties in. MR. HAYDEN: Well, I'm understanding

6 how that -- I'm trying to understand how that ties into the PMK notice that you made of the man.

MR. BANKER: Well, so policies and procedures applicable to Jacob Tischer's work as a conductor on August 12th, 2017, pertaining to his illness, altered consciousness, or incapacitation. I'm just wondering --

MR. HAYDEN: Well, ask him that. Did any lack of sleep, for which there's no foundation, alter hid consciousness?

And by the way, No. 2 is the subject on which I said he is not fully testifying to today, but go ahead. Please narrow it to a relevant question.

BY MR. BANKER:

Q. Do you remember the question?

23 A. So -- no.

O. Okav.

A. You'll have to ask again.

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1321 Jones Street, Omaha, NE 68102 Tel: (402) 556-5000 | Fax: (402) 556-2037 John Holland, M.D. 32 (113 - 116) 10/21/2019

Page 113 Page 115 1 Q. Sure. 1 objectionable to foundation and form. 2 2 THE WITNESS: Okay. You know, based I'm just -- you know, so I was -- in asking that question about resting and work, I was 3 on the information you presented to me about this case, which, again, I don't have anything -- that's thinking about it from a circadian rhythms 4 standpoint. Is that at all salient or pertinent in 5 all I know is what you presented to me. your mind? 6 6 The scenarios that you presented to me 7 7 MR. HAYDEN: In this case? prior to him being found on the ground after he got 8 BY MR. BANKER: out of the van, I don't see anything before that Q. In terms of the work or the medical rules which would -- which would be an indication for 10 and the work setting and the work task? 10 calling emergency medical services unless he asked 11 11 A. So as I said, the medical rules don't deal for it, which he didn't. with fatigue management. There's other policies 12 I mean -- and so I don't see anything in 13 that deal with that. the hypotheticals or the situation that you 13 described to me before he was on the ground that 14 I don't see -- you know, in this 14 15 15 would be an indication to call emergency medical particular case, Union Pacific, I'm not sure what the relevance is to the, you know -- to circadian 16 services. rhythms or sleep management. So I don't really have 17 17 MR. BANKER: Okay. I don't have any 18 an opinion. 18 further questions. 19 Q. So looking at this Jacob Tischer event and 19 **CROSS-EXAMINATION** 20 how it developed over time, is there any written 20 BY MR. COHEN: procedure that would give any guidance about how to 21 Q. Good afternoon, Doctor. My name is deal with an employee that the people around him are 22 Michael Cohen, and I represent Professional questioning his ability to do his job? 23 23 Transportation, Incorporated. MR. HAYDEN: Objection: Lack --24 I hope you don't mind if I refer to grossly lacks foundation, assumes facts not in 25 Professional Transportation incorporated as PTI. Is Page 114 Page 116 evidence; it's, therefore, objectionable to form. 1 that okay? 2 THE WITNESS: So it's kind of a broad 2 A. Yes. 3 3 question, you know. I -- you know, I mentioned Q. All right. So I believe you said before before, I don't know that there is -- I don't know 4 that you were familiar with PTI; is that correct? that there's any specific regulations or policies 5 A. I know -- I'm not familiar with the 6 that deal with that situation. company. I know -- I'm familiar with what our vans 7 do, our crew vans, which is one of the services they I mean, I think a lot of this is dealt 8 with as what's reasonable, what's common sense in provide. 9 the situation, and -- but I don't know of any Q. Okay. And that sort of answers my next 10 specific policy. 10 question. I'm going to ask it anyway. 11 BY MR. BANKER: 11 What is the relationship, if you know, 12 Q. Well -- and so I was approaching it very between PTI and Union Pacific? 12 broadly on that end. Let me approach it from the 13 A. Well, my understanding is PTI is a 14 other end very narrowly. 14 contracted vendor to Union Pacific, and one of the 15 Is there anything before Mr. Tischer is services they provide is to drive employees, laying on the ground outside the PTI vehicle at the 16 particularly train crews, from a site of a train to depot between 8:50 and 8:56 at night that indicates 17 17 other locations, such as a terminal. something should be done for him by way of seeking 18 Q. Okay. Now, you talked a little bit 19 emergency -- an emergency medical response? 19 with -- quite a bit with Mr. Banker regarding Union MR. HAYDEN: Objection. He testified 20 20 Pacific's medical or first aid training it provides 21 I think very early on in this deposition that he did 21 from time to time to its employees. Do you recall

22 that?

23

24

A. Yes.

records, et cetera.

not read any of the materials, depositions, medical

So all he's -- your question is based on

25 your repeatedly incomplete hypotheticals. So it's

22

23

24

Q. And is that medical training program known

as BasicPlus, or how would you like to refer to

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10/21/2019 Page 117 Page 119 1 that? 1 Do you have any reason to believe that UP 2 A. Medic First Aid training. would not have had the ability to edit the materials 3 Q. Medic First Aid training? that were provided to its employees? A. Well, I -- I don't know. I mean, I don't 4 4 know that Union Pacific did -- asked to edit them or 5 Q. Just generally, how did UP develop its 5 6 Medic First Aid training? edited them. I don't know. 7 7 A. Well, there are -- there are a few Q. You're saying as you sit here today you 8 8 don't know one way or the other whether Union organizations that offer this type of training to corporations such as ours, and this -- this company, Pacific had the ability to make revisions or edit Medic First Aid, is one of those. the written policies -- the written training 10 11 materials that were provided to its employees? You know, others are National Safety 11 12 Council and American Red Cross also have training. A. Yes. We're talking about the Medic First 12 Q. Okay. And when you say Medic First Aid, Aid materials, that's correct. I do not know either 13 is that Medic First Aid International, Incorporated? 14 14 way. 15 15 A. I believe so, yes. Q. Okay. Do you know how Medic First Aid 16 Q. Do you know when Medic First Aid 16 International, Incorporated, selected which medical International, Incorporated, developed Union 17 17 issues or conditions to include in the training 18 Pacific's training program? 18 materials it provided to Union Pacific? 19 A. Well, no, I don't. 19 20 Q. Okay. Do you know the process by which 20 Q. Do you know whether the number or the type 21 21 that first aid program was developed with UP? of conditions that were included in the BasicPlus 22 MR. HAYDEN: Objection: Foundation. 22 training materials or the Medic First Aid training 23 He's testified already UP didn't develop this. 23 materials were material to Union Pacific in deciding 24 24 THE WITNESS: My understanding is which training materials to select? A. I don't know. I wasn't -- I don't -- I 25 this was a -- this was training that had already 25 Page 118 Page 120 1 don't recall that I was part of the process in 1 been developed by Medic First Aid, you know, and 2 then we reviewed it and then hired them as a vendor 2 selecting them. 3 to provide the training material and train our 3 Q. Okay. 4 trainers. That would be -- it's my understanding of 4 A. So I don't know what the decision making 5 how this process works. 5 was. 6 BY MR. COHEN: 6 Q. Do you know the position of the person or 7 persons who decided which training materials to Q. Okay. So did UP review materials sent by 8 several vendors and just choosed (ph) one or is select? 9 there some other process that occurred? A. No. 10 A. I don't know what happened when we chose 10 Q. Okay. I think you mentioned before that 11 Medic First Aid. That would be -- that would be a 11 Union Pacific is not required to train its employees typical process would be review proposals from or first aid or medic -- first aid training? 12 A. What I mentioned was that I know of no 13 different vendors. 13 14 Q. Okay. And did UP have any input into what 14 government regulations that require Union Pacific to would be provided in the materials that Union 15 provide first aid training to its employees. Pacific ultimately chose? 16 16 Q. Okay. Do you know of any governmental 17 17 regulations that requires anybody with whom UP A. I don't know. 18 Q. Is it sort of possible that UP had input 18 contracts to provide medical training to its 19 employees? 19 into the materials? 20 20 MR. HAYDEN: Calls for speculation. A. I -- I don't know of any. 21 THE WITNESS: Well, it's possible, 21 Q. Okay. Does Union Pacific require its 22 but I don't know. 22 contractors to train its employees in medical -- in 23 BY MR. COHEN: 23 first aid? Q. And your counsel makes a good point. Let 24 A. I don't know. 25 me rephrase that. 25 Q. Now, counsel for plaintiff stole my

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Page 121 Page 123 1 thunder a bit, but do you have any criticism 1 officer may know who would be -- who would be the -whatsoever of PTI in relation to Mr. Tischer? able to discuss that. A. Well, all I know is what was provided to 3 Q. And what is the name of the chief safety 4 officer? me, you know, in these discussions today. And I 5 don't have any criticism based on what I heard. A. Aaron Britt, I think. I may have that Q. Okay. Did you review the basic -- the 6 wrong. 7 Medic First Aid training materials in preparation Q. All right. I want you to turn to Page 10 of the Medic First Aid training brochure or packet, for today? and I want to draw your attention to the box A. Yes. 10 10 entitled "Emergency Action Plans." Q. Okay. Are you familiar with the section 11 And my question is whether this changes of those materials entitled "Other Legal Considerations"? 12 your testimony as to whether Union Pacific has an A. You know, I would have to look at it. I'm emergency action plan? 13 A. So I've read the sections of the emergency 14 not sure I can recall. 14 15 15 action plan. It doesn't change my answer. I don't Q. Okay. 16 A. Can you tell me what page that's on? 16 know. 17 Q. Sure. It'll be on Page 8. 17 Q. All right. Suffice it to say if Union 18 A. All right. Pacific does have an emergency action plan, you're 19 Q. And do you see the subsection entitled not aware of its contents; is that correct? 19 20 "Duty to Act"? 20 A. Yes. 21 21 Q. All right. Okay. If you could turn your A. Yes. 22 Q. Okay. Why does UP provide training to its 22 attention to either Exhibit 9 or the brochure you have in front of you regarding the -- regarding the employees regarding a duty to act? 23 24 MR. HAYDEN: Objection: Foundation. first aid training UP provides to its employees on a 25 THE WITNESS: Okay. This is a legal voluntary basis specifically regarding strokes, Page 122 Page 124 1 concept, you know. And as I said before, the only 1 which in Exhibit 9 is contained on Page UP1392. thing I can speak to is that it's my understanding 2 A. All right. 3 there is no legal regulation that requires UP to 3 Q. Now, we discussed earlier that this provide the first aid training. 4 exhibit contains signs that tend to show up And if -- if you're asking me who does or 5 suddenly. doesn't have a duty to act, I think that's a legal 6 Do you see where it says that? 7 question that I'm not prepared to answer. 7 A. Yes. 8 BY MR. COHEN: 8 Q. All right. Is vomiting on this list? 9 Q. Okay. And that would be the same -- same would be true as to PTI, correct? 10 Q. And you mentioned -- you were using the 11 phrase or the term "specific to a stroke." What did A. Both of those issues, as I said before, I do not know of regulations that would require PTI to you mean by that earlier versus nonspecific? provide first aid training, and I'm not going to A. I don't know -- I can't remember the 13 give an opinion about duty to act because it's a 14 context. 15 legal opinion. 15 Q. Fair enough. 16 Q. Very good. 16 Okay. Does the fact that somebody is 17 Next I want to talk about emergency action 17 vomiting necessarily mean that they're having a plans. Does UP have an emergency action plan? 18 stroke? 19 A. The -- I don't know. 19 A. No. 20 20 Q. Okay. Who would know? Q. Okay. Is it possible that somebody would 21 A. If it was -- emergency action plan was -be vomiting without having a stroke? 22 well, you know, I really don't know who -- who I 22 A. Yes. 23 would go to to ask. 23 Q. Does vomiting by itself in your mind require that emergency services be called? 24 I think it -- if it deals with safety, which it sort of implies, then the chief safety 25 A. No.

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Page 125 Page 127 Q. Is the fact that a person is having 1 it. 2 difficulty operating a seat belt necessarily 2 Q. Or a person's medical condition -indicative of a stroke? personal health --MR. HAYDEN: I think asked and 4 A. There may be other reasons too, yes. It's 5 5 not always indicated. answered. 6 But go ahead. 6 Q. You looked at the EMS records which were 7 7 THE WITNESS: No. identified just officially for the record as Exhibit 8 8 No. 22. BY MR. COHEN: Q. Okay. Does the fact that operating a seat 9 Did you see anything in those EMT records, belt or has difficulty operating a seat belt 10 Doctor, that the FAST symptoms identified on 11 Page UP001048 were observed more than three hours necessarily require in your mind that emergency services be called? 12 prior to the EMT's arrival? 13 13 A. So the only one of the FAST symptoms that A. No. really -- well, that are spoken to here are the left 14 14 MR. COHEN: I don't have any further 15 15 leg and left arm weakness. questions. 16 MR. HAYDEN: I just have I think 16 And this page of the EMT notes said the 17 17 patient stated about an hour before calling 911, he three. 18 CROSS-EXAMINATION 18 was having really bad left leg and arm weakness. 19 So based on this page, there's -- none of 19 BY MR. HAYDEN: 20 Q. Showing you -- or you have in your pack 20 the FAST symptoms, so to speak, would have been 21 present more than three hours before this. there, Exhibit No. 16, it's the emails. And if you look, excuse me, at the page that's Bates labeled 22 Q. Regarding the question of when to call 911 23 23 UP001048. for a fellow employee, what is it -- as a chief 24 medical officer, what is your expectation of when an So below the -- in large font, the FAST protocol, right below there there's a paragraph that employee should call 911 on behalf of another Page 126 Page 128 1 I think you were referred to earlier that starts, 1 employee? 2 "Minutes matter in treating stroke." 2 A. Well, I think there will be two 3 Do you see that? indications. One is if the employee asks you to. 4 The employee asks you to call 911 for them, then, of A. Yes. Q. Okay. Going down a couple of sentences, course, you should do that. do you see where it says, "Depending on the type of 6 The other would be something dramatic. 7 stroke, you may be given aspirin or powerful Essentially if the person collapsed, if the person 8 clot-busting drugs." 8 was unconscious, if the person had some sudden 9 Do you see that? 9 impairment. 10 A. Yes. 10 So things that are -- we classify as 11 Q. Then the next sentence says, "The best 11 sudden incapacitation, sudden physical or mental results happen when you get this medication within impairment which was major, and looked like it 12 three hours of the symptom starting." represents a significant health event. 13 13 14 Do you agree with that statement? 14 MR. HAYDEN: Thank you, Doctor. 15 A. My understanding that that -- that's the 15 Anything else? sort of standard protocol in stroke management, that 16 MR. BANKER: I don't have any further 17 if you're going to use this, it needs to be done questions. 18 at -- within about three -- I don't know if it's 18 MR. COHEN: Nothing here. 19 three or four hours, but within that time period 19 MR. HAYDEN: Thank you. We're done. 20 after the first indication of a stroke. 20 VIDEOGRAPHER: The time is 2:32 p.m. Q. And allowing that there's, medically 21 This is the end of the deposition. 22 speaking, other reasons why the so-called 22 Counsel, we're off the record. 23 clot-busting drug may not be administered? 23 COURT REPORTER: (Requests transcript 24 A. Yes. There are certain types of strokes, 24 orders.) such as a hemorrhagic stroke where you wouldn't use 25 MR. BANKER: Electronic full-sized

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1	and condensed with electronic exhibits.	
2	and condensed with electronic exhibits.	
	MR. COHEN: Nothing right now.	
3	MR. COHEN: Nothing right now. MR. HAYDEN: Condensed PDF.	
4	(2:33 p.m Adjournment.) ** ** **	
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1
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 2.
     STATE OF NEBRASKA
                             ss.
     COUNTY OF DOUGLAS
 4
               I, Brianne L. Starkey, RPR, CRR, General
 5
    Notary Public within and for the State of Nebraska,
    do hereby certify that the foregoing testimony of
 6
 7
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 8
     and thereafter reduced to typewriting by use of
     Computer-Aided Transcription, and the foregoing one
 9
10
    hundred twenty-nine (129) pages contain a full, true
11
     and correct transcription of all the testimony of
12
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13
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15
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17
               IN WITNESS WHEREOF, I hereunto affix my
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25
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